

FDA

JUNE 3, 2020

# DICOM STANDARDIZATION OF WSI DATA

*DAVID A. CLUNIE*

*PIXELMED PUBLISHING, LLC*

## Disclosures

- Editor of the DICOM Standard (NEMA contract)
- Owner of PixelMed Publishing, LLC
- Consulting for Algotec (Philips), Bioclinica, BKMedical, Medigate, Mayo
- Subcontractor to BWH on NCI Imaging Data Commons (IDC) project
- Subcontractor to Leeds (UK NHS) on Northern Pathology Imaging Co-operative (NPIC) project

# Overview

- What is DICOM?
- Why DICOM? Interoperability
- DICOM for WSI in detail
- Regulatory implications of interoperability
- Which aspects of DICOM can assuage regulatory concerns?
- Use cases for mathematically identical pixels in DICOM vs. proprietary
- DICOM solutions for proprietary compression sources
- Color management
- Computational Pathology (AI/ML) and DICOM
- Regulatory issues of AI/ML – annotations for truthing

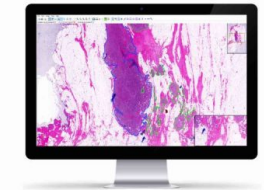
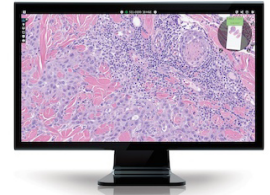
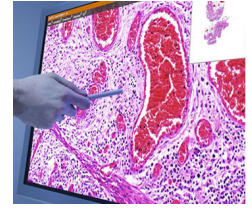
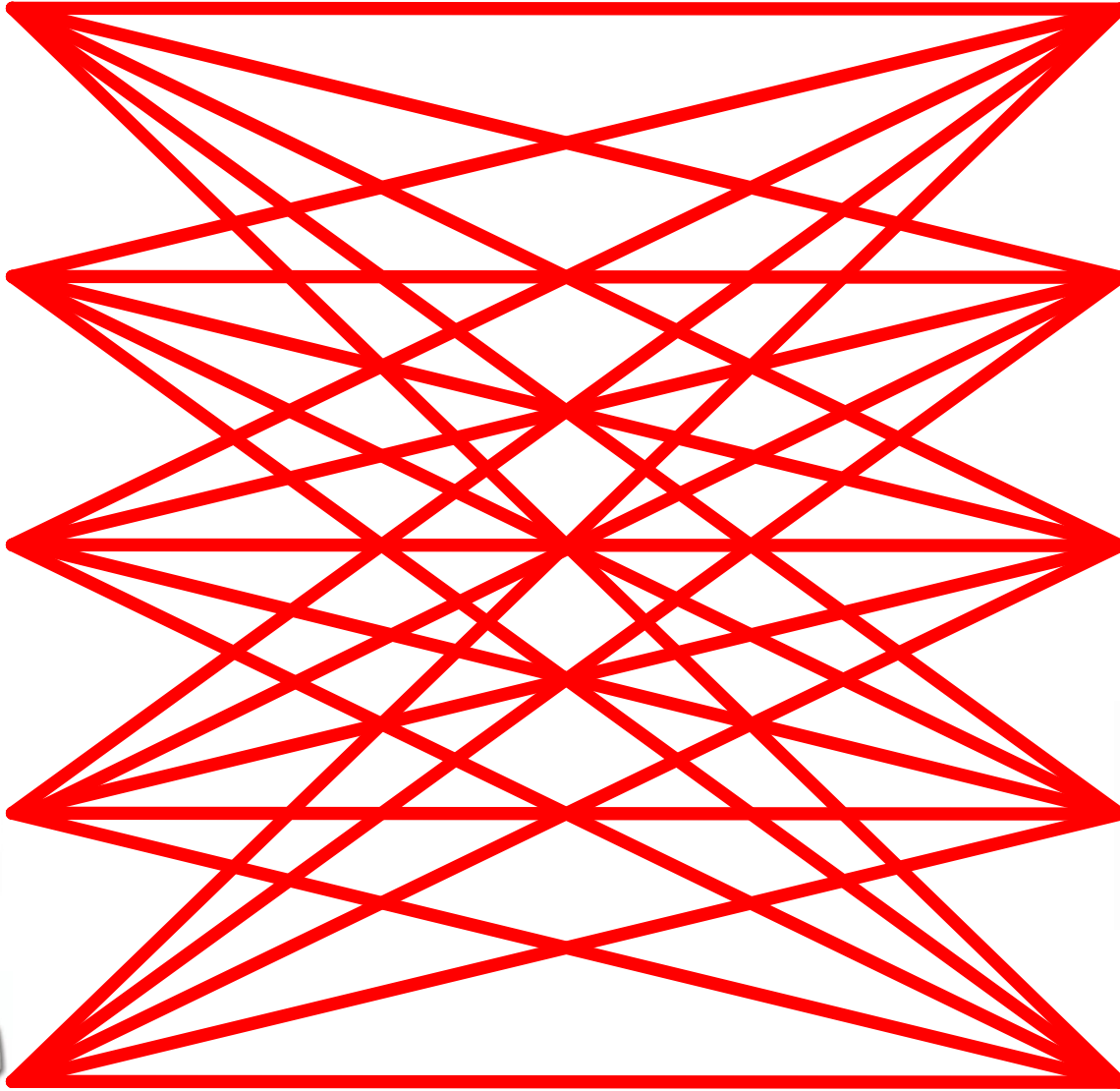
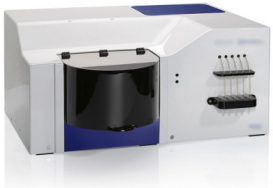
# What is DICOM

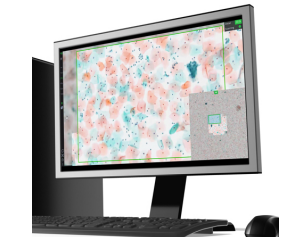
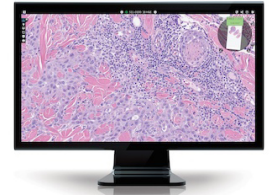
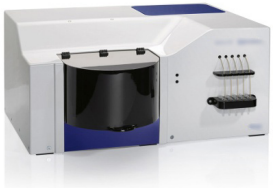
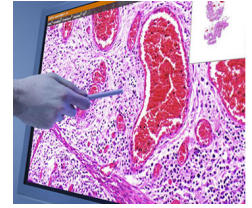
- A protocol for exchanging images and image-related information
- Between different implementations (vendors) of image producers and consumers
- I.e., interoperability of images
  
- Sending ("storing") images ("instances")
- Finding them ("query/retrieve")
- Various other services (e.g., workflow management)
  
- Protocols, messages and operations
- An information model – common stuff (Patient/Study/Series/Image), Specimen
- A data dictionary ("elements", "attributes")
- Information object definition ("IOD") – modality/specialty specific
- Objects pairs with services ("Service Object Pair" (SOP) Classes)
- File format – record a DICOM message in a file (on media)

## Interoperability

*“the ability of two or more systems or components to exchange information and to use the information that has been exchanged”*

IEEE Standard Computer Dictionary: A Compilation of IEEE Standard Computer Glossaries. 1990





# Why Interoperability?

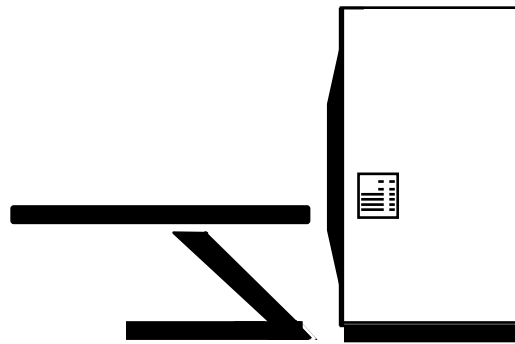
- A single vendor is rarely expert in everything (acquisition, processing, storage, viewing, analysis)
- A single vendor can rarely devote resources to everything
- E.g., one vendor makes great scanner (fast, few errors, easy to load, sharp images)
- Another vendor makes great viewer (efficient navigation, better tools)
- Yet another makes a dedicated analysis tool (whether H&E or IHC or whatever)
- Another vendor makes a dedicated cytology, hematology or microbiology scanner
  
- Users want "best of breed": "mix and match" "plug and play interoperability"
- Users with multiple scanners want to see everything in the one viewer they routinely use (and are trained and validated on)
  
- Lesson from radiology: seamless mixture of all sorts of different brands, models, versions of different types of scanner, independent of archive, mixture of different general purpose ("universal") and specialty-specific viewing, analysis and planning tools



# Why DICOM?

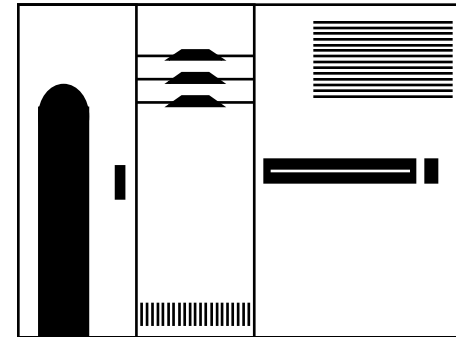
- Enormous experience in radiology and cardiology
- 35 years since ACR-NEMA PS3 Standard (1985), which became DICOM
- A consensus of user and industry representatives. later adopted by ISO as ISO 12052
- 80 million CT studies per year in US (CBS News, 2015) – all DICOM
- Huge supporting infra-structure – for both DICOM file format, protocol and services
- All manner of products essentially commoditized: scanners, archives, workstations, viewers, PACS, toolkits for products, testing, analysis, research
- Both commercial and free, closed and open source tools
- Conformance and interoperability testing venues (e.g., IHE Connectathons)
- Modality agnostic – e.g., XR, MR, NM also Visible Light, esp. Ophthalmology, Endoscopy
- Application agnostic – human, veterinary, small animal research, non-destructive testing (esp. aerospace and nuclear power), security (esp. baggage scanning)
- Emphasis on reliable, consistent, standard metadata (common data elements, value sets)

# DICOM and Radiology Modality



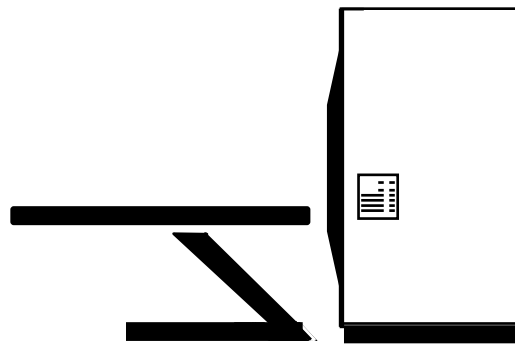
*Modality*

Storage →

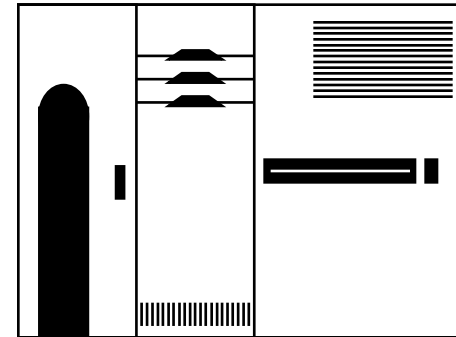
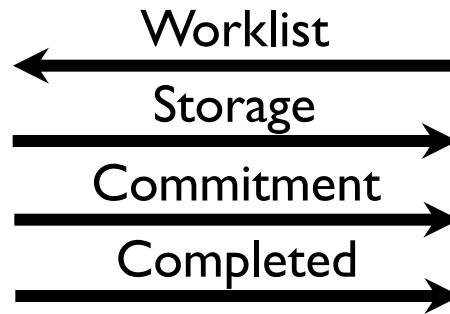


*PACS*

# DICOM and Radiology Modality

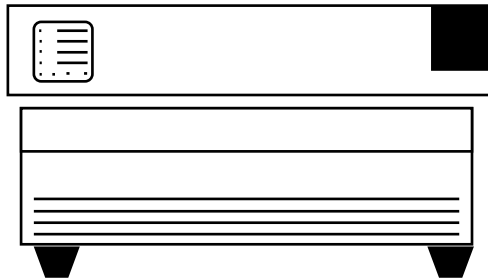


*Modality*



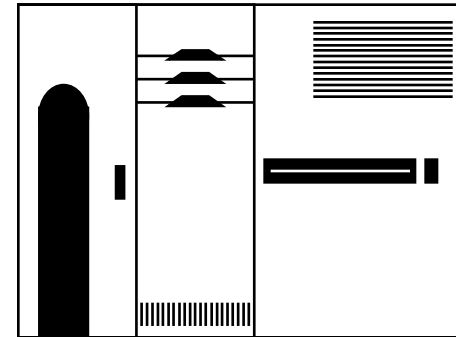
*PACS*

# DICOM and Slide Scanner



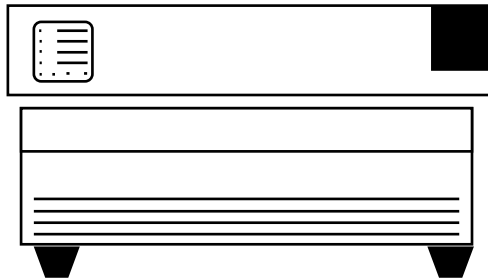
*Slide Scanner*

Storage →

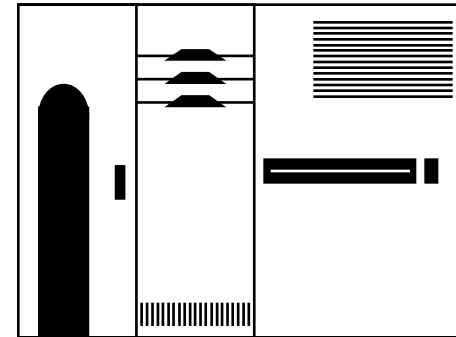
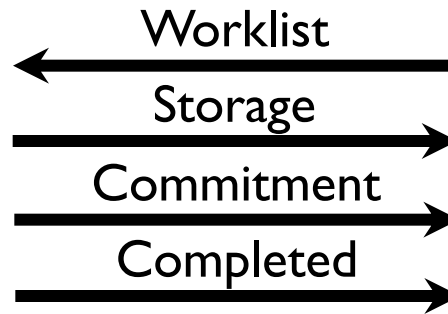


*PACS*

# DICOM and Slide Scanner



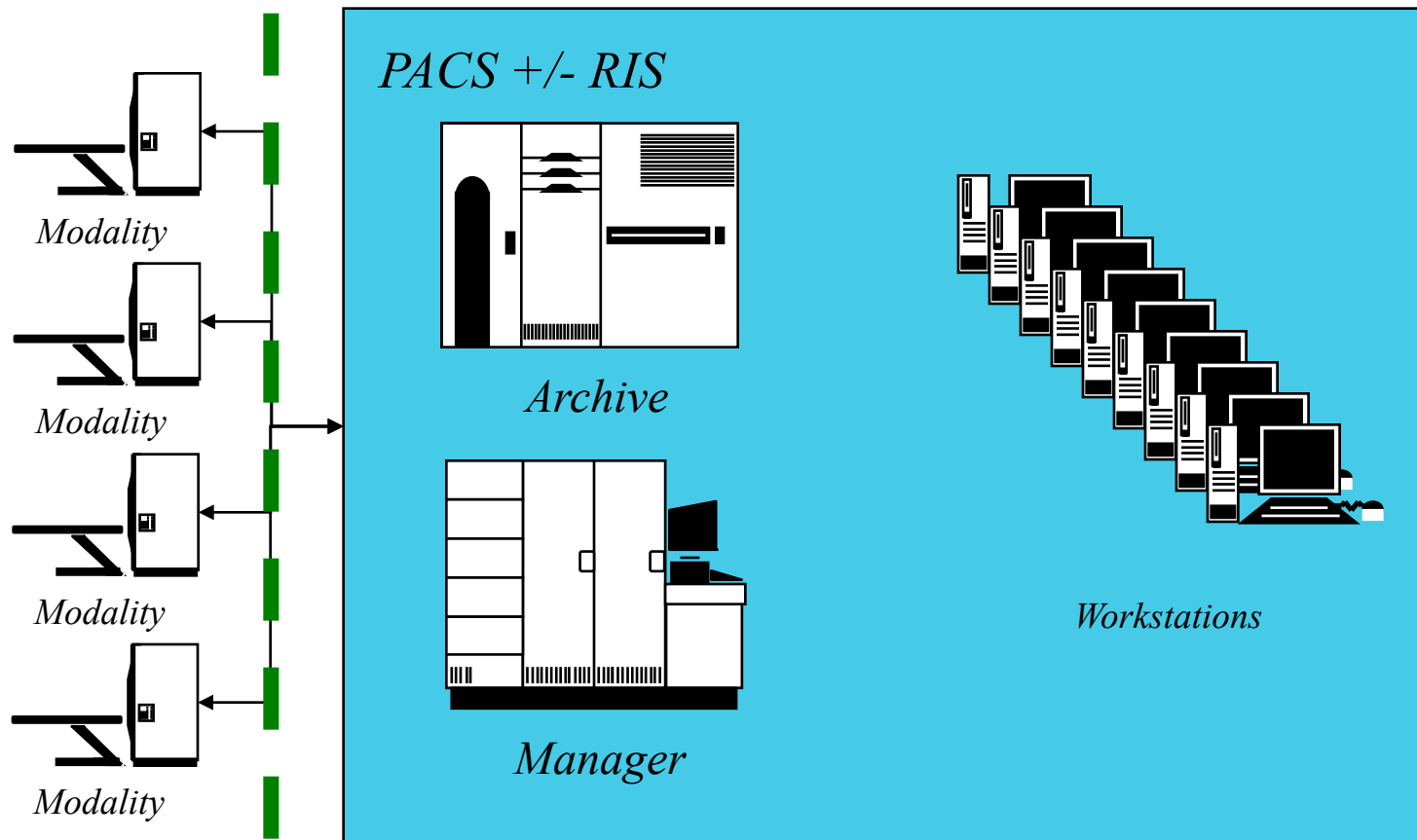
*Slide Scanner*



*PACS*

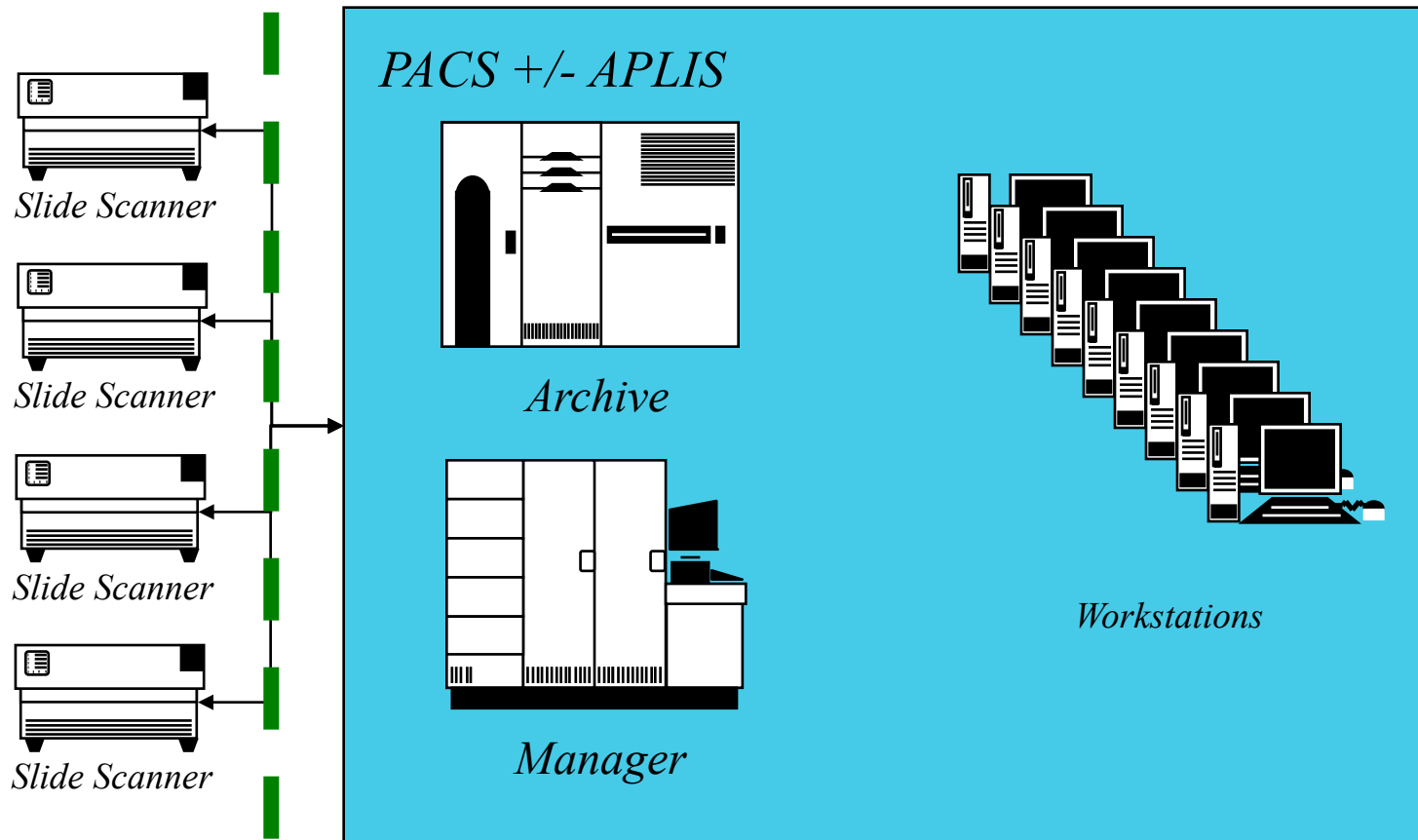
# DICOM Modality to PACS

## *Standard Boundary*

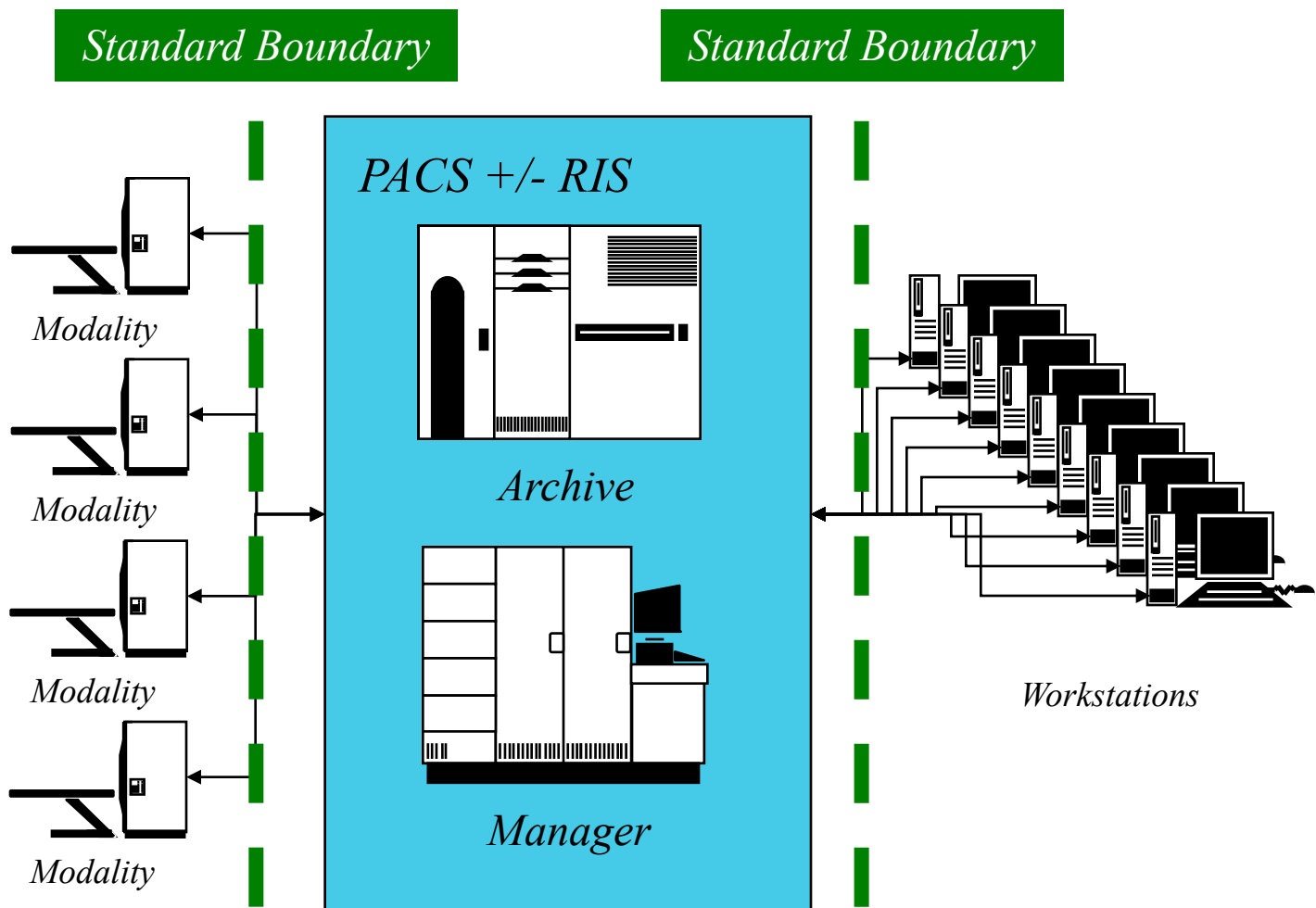


# DICOM WSI to PACS

## *Standard Boundary*

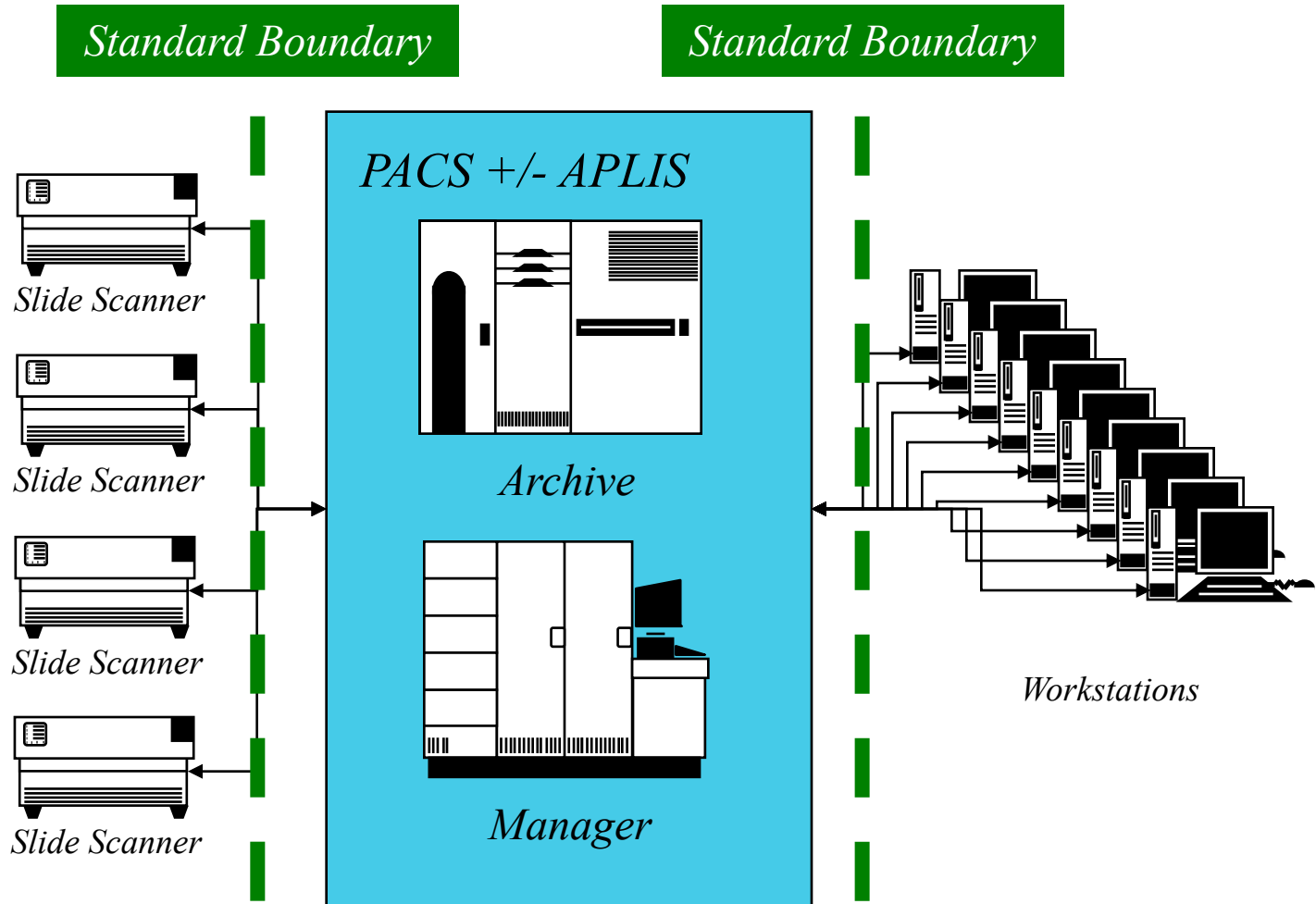


# DICOM – Radiology Workstation

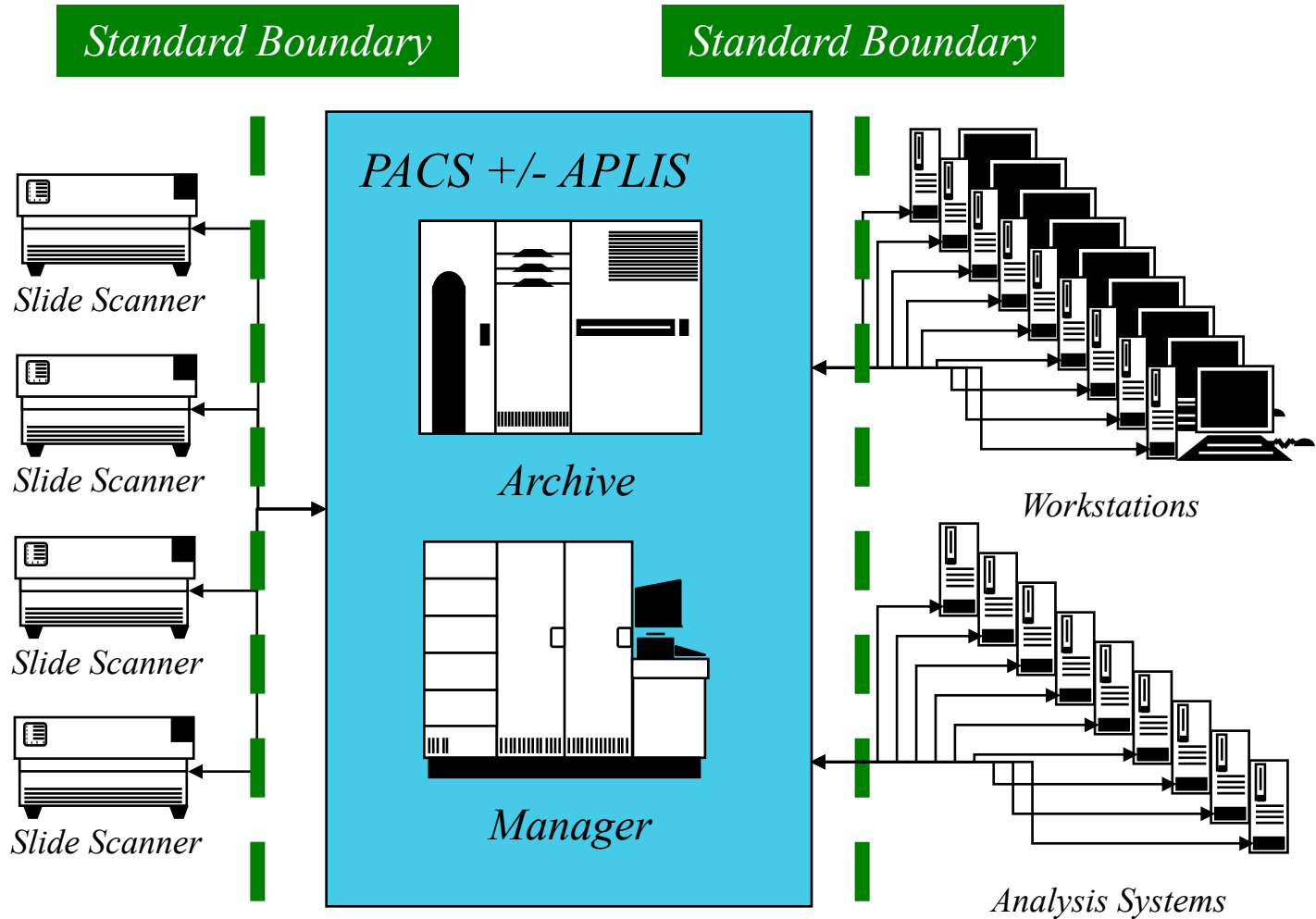




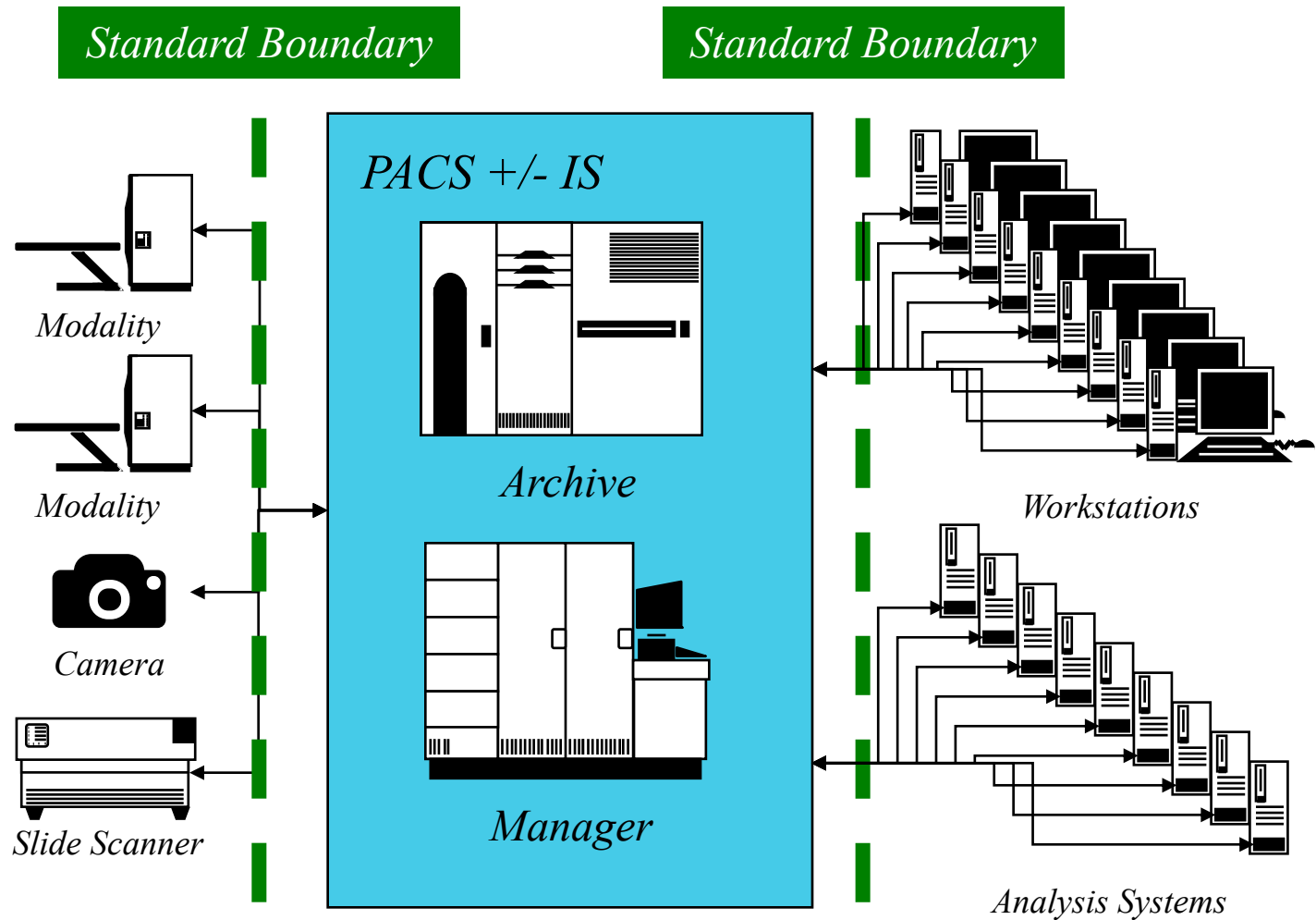
# DICOM – Pathology Workstation



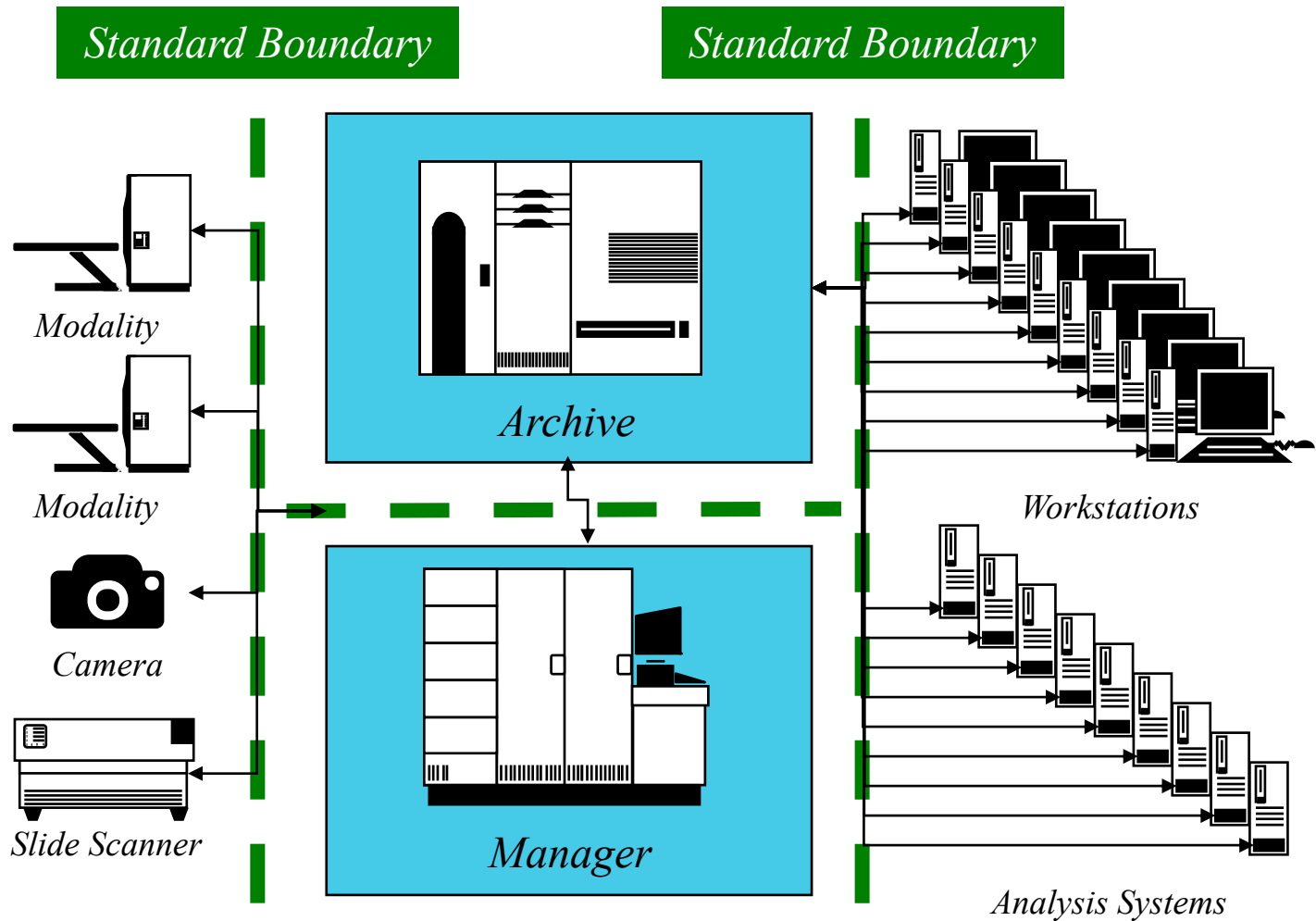
# DICOM – Analysis Systems



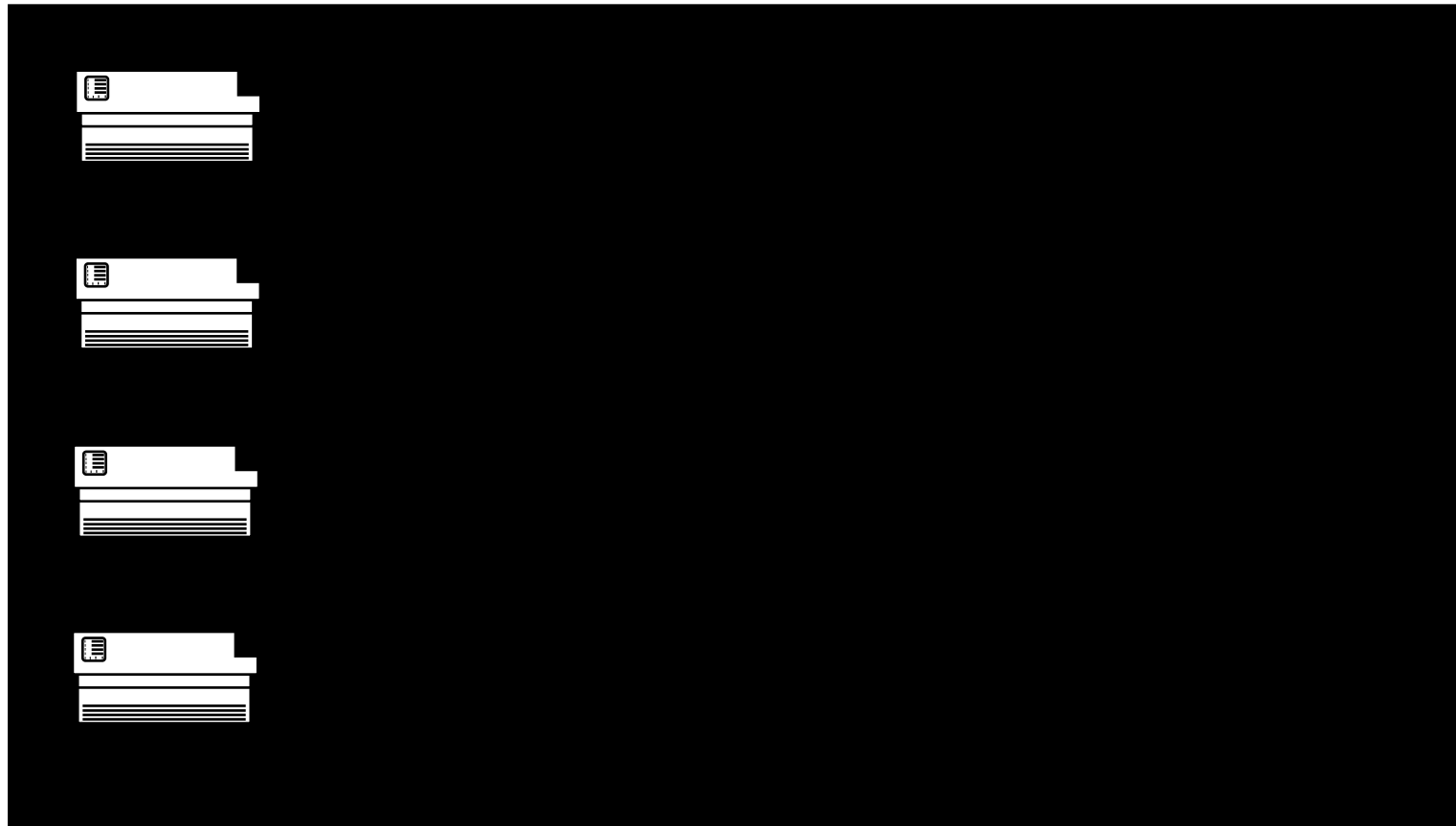
# DICOM – Enterprise Imaging



# DICOM – Deconstructed PACS



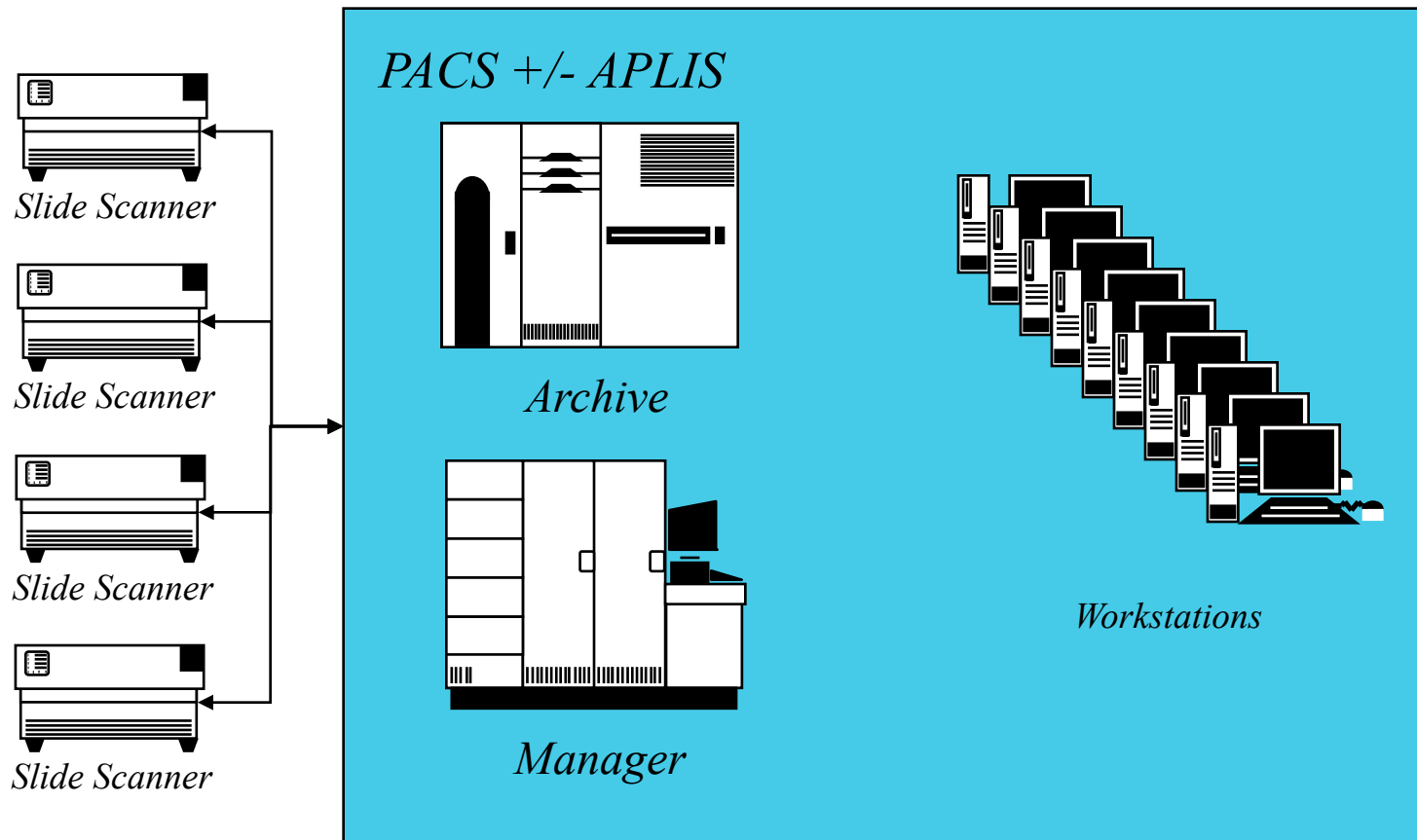
# FDA “entire pixel pathway”



# Single Vendor Black Box Philips, Leica Aperio AT2 510(k)s

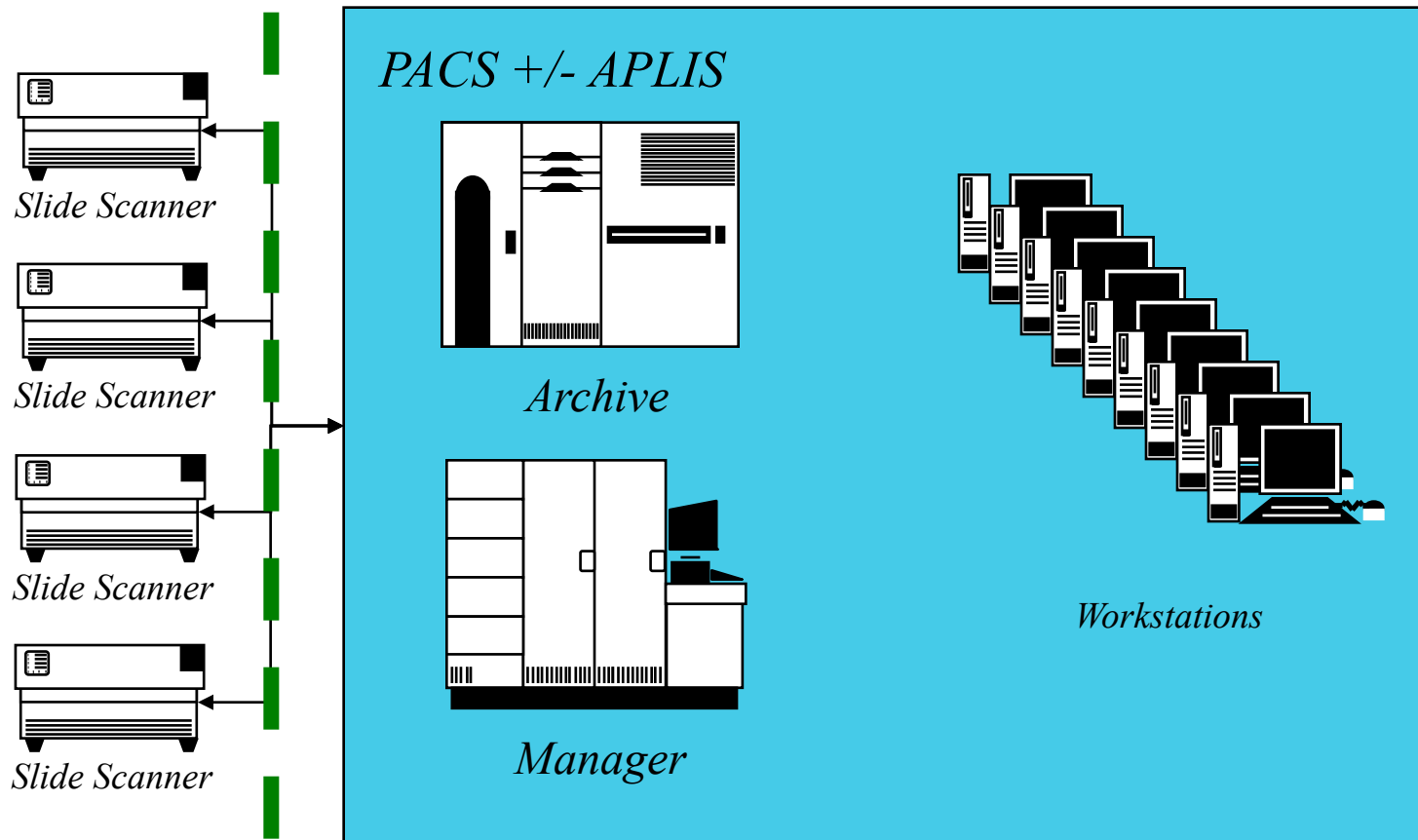


# Leica Aperio AT2 to Sectra 510(k)



# Missing standard protocol/format

## Standard Boundary

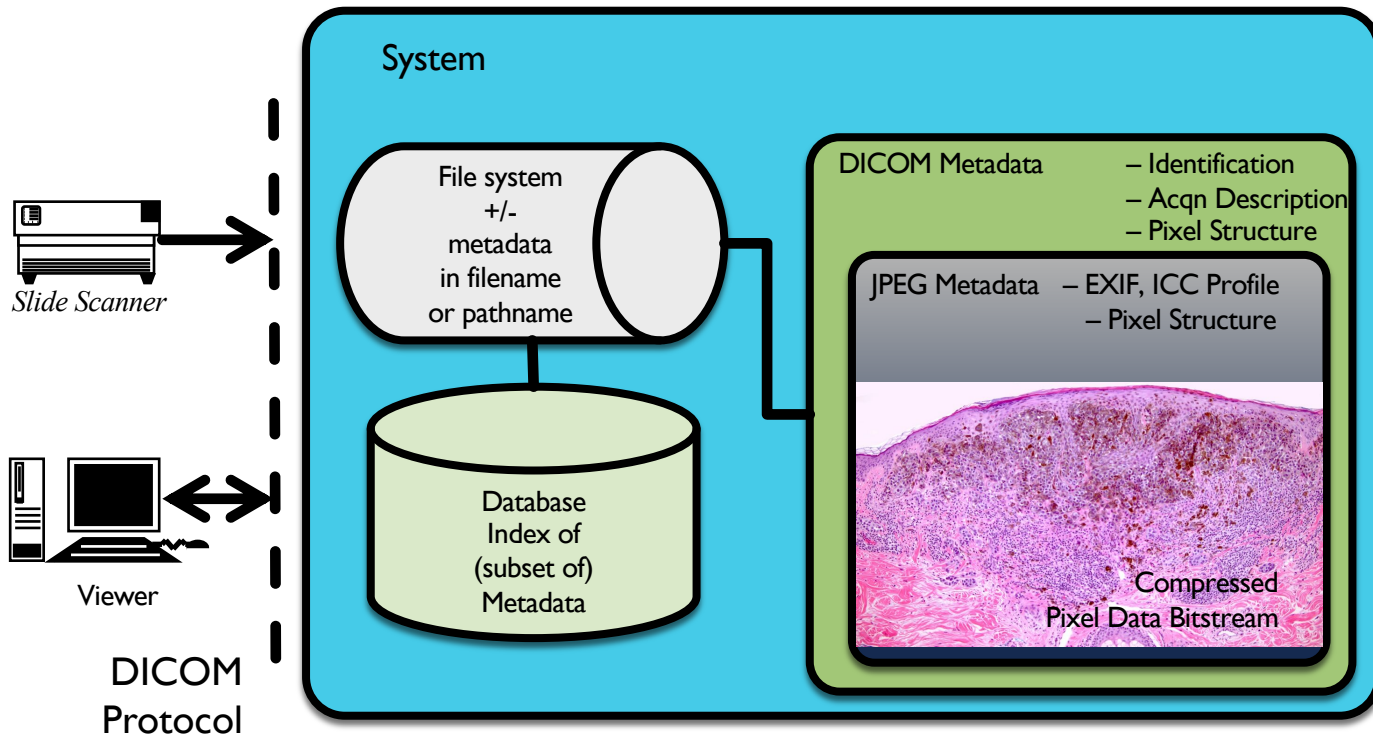




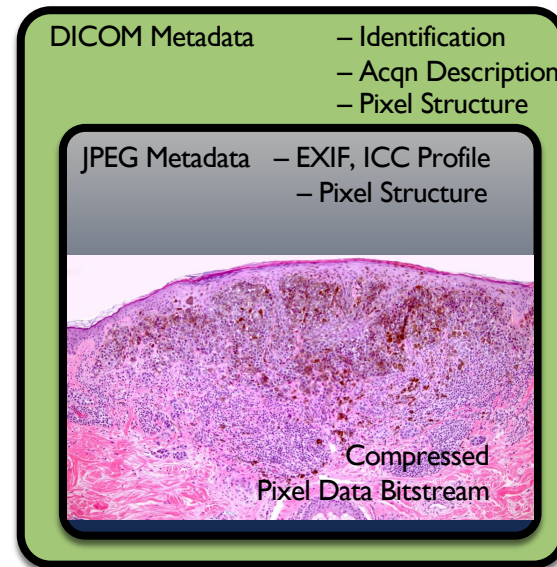
# DICOM WSI – What and How

- File format for:
  - whole slide images (tiled pyramid)
  - single fields – slide microscopy
  - gross microscopy
- File contains:
  - compressed pixels (JPEG or JPEG 2000)
  - metadata – identifying AND descriptive
- Protocol for sending and receiving, etc.
- Other stuff like workflow, annotation, segmentation, structured reports, ...

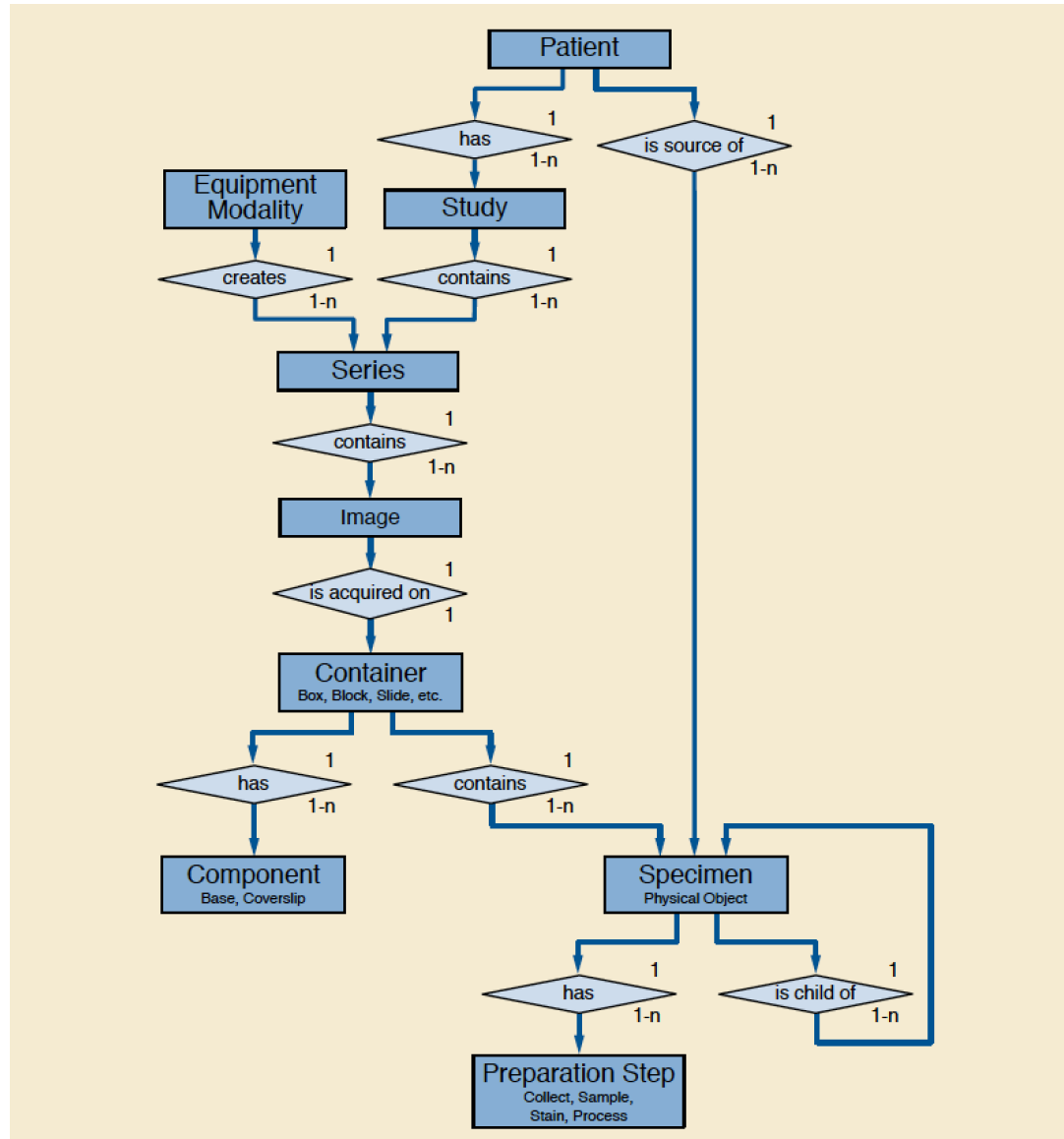
# DICOM System and Metadata



# DICOM File – Metadata included



# Metadata – Standard Information Model



# DICOM Modality-Specific Metadata

- Common base, but different (mandatory/optional) features for different applications
- For example,
  - MR Image
    - single frame, 12-16 bit grayscale image
    - MR acquisition - pulse sequence parameters
    - 3D patient relative co-ordinate/vector position
  - X-Ray Angiography Image
    - multi-frame, 8-10 bit grayscale image
    - XA acquisition - radiation/collimation/motion
    - dynamic C-arm/table relative positioning
  - Whole Slide Microscopy Image
    - tiled multi-frame, 8-16 bit per channel true color or grayscale image
    - specimen and container identification
    - specimen processing description – collection, fixation, embedding, staining

# DICOM Common Metadata Attributes

```

(0x0008,0x0005) CS Specific Character Set      VR=<CS>   VL=<0x000a> <ISO_IR 192>
(0x0008,0x0008) CS Image Type      VR=<CS>   VL=<0x001c> <ORIGINAL\PRIMARY\LABEL\NONE >
(0x0008,0x0016) UI SOP Class UID      VR=<UI>   VL=<0x001e> <1.2.840.10008.5.1.4.1.1.77.1.6>
(0x0008,0x0018) UI SOP Instance UID    VR=<UI>   VL=<0x002c> <2.25.303027567746224774473319543698839323449>
(0x0008,0x0020) DA Study Date      VR=<DA>   VL=<0x0008> <20190105>
(0x0008,0x0023) DA Content Date      VR=<DA>   VL=<0x0008> <20190605>
(0x0008,0x002a) DT Acquisition DateTime VR=<DT>   VL=<0x0014> <20190403134345+0200 >
(0x0008,0x0030) TM Study Time      VR=<TM>   VL=<0x000e> <170000.000000 >
(0x0008,0x0033) TM Content Time      VR=<TM>   VL=<0x000e> <153114.151937 >
(0x0008,0x0050) SH Accession Number  VR=<SH>   VL=<0x0008> <D19-1002>
(0x0008,0x0060) CS Modality      VR=<CS>   VL=<0x0002> <SM>
(0x0008,0x0070) LO Manufacturer      VR=<LO>   VL=<0x000e> <3DHISTECH Kft.>
(0x0008,0x0090) PN Referring Physician's Name VR=<PN>   VL=<0x0014> <Beckwith^Bruce^^MD >
(0x0008,0x0201) SH Timezone Offset From UTC VR=<SH>   VL=<0x0006> <+0200 >
(0x0008,0x1030) LO Study Description VR=<LO>   VL=<0x0008> <Placenta>
(0x0008,0x1090) LO Manufacturer's Model Name VR=<LO>   VL=<0x0002> <? >
(0x0008,0x9206) CS Volumetric Properties VR=<CS>   VL=<0x0006> <VOLUME>
(0x0010,0x0010) PN Patient's Name  VR=<PN>   VL=<0x0010> <Histech^Theresa >
(0x0010,0x0020) LO Patient ID      VR=<LO>   VL=<0x0008> <1473843 >
(0x0010,0x0021) LO Issuer of Patient ID VR=<LO>   VL=<0x0012> <XYZ Medical Center>
(0x0010,0x0030) DA Patient's Birth Date VR=<DA>   VL=<0x0008> <19920915>
(0x0010,0x0040) CS Patient's Sex    VR=<CS>   VL=<0x0002> <F >
(0x0018,0x1000) LO Device Serial Number VR=<LO>   VL=<0x0002> <? >
(0x0018,0x1020) LO Software Versions VR=<LO>   VL=<0x0034> <2.0.0.98298\2.3.0.32188\DicomObjects.NET v8.40.110.2>
(0x0018,0x9073) FD Acquisition Duration VR=<FD>   VL=<0x0008> {62}
(0x0020,0x000d) UI Study Instance UID VR=<UI>   VL=<0x002c> <2.25.314895697286408613145161297089258454972>
(0x0020,0x000e) UI Series Instance UID VR=<UI>   VL=<0x002a> <2.25.4499748423492793206448754820247952244>
(0x0020,0x0010) SH Study ID      VR=<SH>   VL=<0x0006> <Case T>
(0x0020,0x0011) IS Series Number  VR=<IS>   VL=<0x0000> <>
(0x0020,0x0013) IS Instance Number VR=<IS>   VL=<0x0002> <2 >
(0x0020,0x0020) CS Patient Orientation VR=<CS>   VL=<0x0000> <>
(0x0020,0x0052) UI Frame of Reference UID VR=<UI>   VL=<0x002c> <2.25.312720432849011477406910130848517432703>

```

# DICOM Specimen Metadata Attributes

```

(0x0040,0x0560) SQ Specimen Description Sequence      VR=<SQ>    VL=<0xffffffff>
----:
> (0x0040,0x0551) LO Specimen Identifier      VR=<LO>    VL=<0x000e>  <D19-1002 A-1-1>
> (0x0040,0x0554) UI Specimen UID      VR=<UI>    VL=<0x002c>  <1.25.27367688369785124469103074345274261214>
> (0x0040,0x0562) SQ Issuer of the Specimen Identifier Sequence      VR=<SQ>    VL=<0xffffffff>
----:
> (0x0040,0x0031) UT Local Namespace Entity ID      VR=<UT>    VL=<0x0012>  <XYZ Medical Center>

> (0x0040,0x0610) SQ Specimen Preparation Sequence  VR=<SQ>    VL=<0xffffffff>
----:
> (0x0040,0x0612) SQ Specimen Preparation Step Content Item Sequence      VR=<SQ>    VL=<0xffffffff>
----:
> (0x0040,0xa040) CS Value Type      VR=<CS>    VL=<0x0004>  <TEXT>
> (0x0040,0xa043) SQ Concept Name Code Sequence      VR=<SQ>    VL=<0xffffffff>
----:
> (0x0008,0x0100) SH Code Value      VR=<SH>    VL=<0x0006>  <121041>
> (0x0008,0x0102) SH Coding Scheme Designator      VR=<SH>    VL=<0x0004>  <DCM >
> (0x0008,0x0104) LO Code Meaning      VR=<LO>    VL=<0x0014>  <Specimen Identifier >

> (0x0040,0xa160) UT Text Value      VR=<UT>    VL=<0x000c>  <D19-1002 A-1>
----:
> (0x0040,0xa040) CS Value Type      VR=<CS>    VL=<0x0004>  <CODE>
> (0x0040,0xa043) SQ Concept Name Code Sequence      VR=<SQ>    VL=<0xffffffff>
----:
> (0x0008,0x0100) SH Code Value      VR=<SH>    VL=<0x0006>  <111701>
> (0x0008,0x0102) SH Coding Scheme Designator      VR=<SH>    VL=<0x0004>  <DCM >
> (0x0008,0x0104) LO Code Meaning      VR=<LO>    VL=<0x0010>  <Processing type >

> (0x0040,0xa168) SQ Concept Code Sequence  VR=<SQ>    VL=<0xffffffff>
----:
> (0x0008,0x0100) SH Code Value      VR=<SH>    VL=<0x0008>  <P3-02000>
> (0x0008,0x0102) SH Coding Scheme Designator      VR=<SH>    VL=<0x0004>  <SRT >
> (0x0008,0x0104) LO Code Meaning      VR=<LO>    VL=<0x0014>  <Specimen collection >

```

# DICOM Specimen Metadata Attributes

```

> (0x0040,0xa168) SQ Concept Code Sequence VR=<SQ> VL=<0xffffffff>
-----:
> (0x0008,0x0100) SH Code Value VR=<SH> VL=<0x0008> <P3-00003>
> (0x0008,0x0102) SH Coding Scheme Designator VR=<SH> VL=<0x0004> <SRT >
> (0x0008,0x0104) LO Code Meaning VR=<LO> VL=<0x0008> <Staining>

-----:
> (0x0040,0xa040) CS Value Type VR=<CS> VL=<0x0004> <CODE>
> (0x0040,0xa043) SQ Concept Name Code Sequence VR=<SQ> VL=<0xffffffff>
-----:
> (0x0008,0x0100) SH Code Value VR=<SH> VL=<0x0006> <G-C350>
> (0x0008,0x0102) SH Coding Scheme Designator VR=<SH> VL=<0x0004> <SRT >
> (0x0008,0x0104) LO Code Meaning VR=<LO> VL=<0x0010> <Using substance >

> (0x0040,0xa168) SQ Concept Code Sequence VR=<SQ> VL=<0xffffffff>
-----:
> (0x0008,0x0100) SH Code Value VR=<SH> VL=<0x0008> <C-22968 >
> (0x0008,0x0102) SH Coding Scheme Designator VR=<SH> VL=<0x0004> <SRT >
> (0x0008,0x0104) LO Code Meaning VR=<LO> VL=<0x0012> <hematoxylin stain >

-----:
> (0x0040,0xa040) CS Value Type VR=<CS> VL=<0x0004> <CODE>
> (0x0040,0xa043) SQ Concept Name Code Sequence VR=<SQ> VL=<0xffffffff>
-----:
> (0x0008,0x0100) SH Code Value VR=<SH> VL=<0x0006> <G-C350>
> (0x0008,0x0102) SH Coding Scheme Designator VR=<SH> VL=<0x0004> <SRT >
> (0x0008,0x0104) LO Code Meaning VR=<LO> VL=<0x0010> <Using substance >

> (0x0040,0xa168) SQ Concept Code Sequence VR=<SQ> VL=<0xffffffff>
-----:
> (0x0008,0x0100) SH Code Value VR=<SH> VL=<0x0008> <C-22919 >
> (0x0008,0x0102) SH Coding Scheme Designator VR=<SH> VL=<0x0004> <SRT >
> (0x0008,0x0104) LO Code Meaning VR=<LO> VL=<0x001a> <water soluble eosin stain >

```



## Metadata – Standard Coded Terminology

- Lens, e.g., (445621001, SCT, “High power non-immersion lens”)
- Sensor sensitivity, e.g., (414298005, SCT, “Full Spectrum”)
- Illumination color, e.g., (415770004, SCT, “Ultraviolet”)
- Illumination method, e.g., (111744, DCM, “Brightfield illumination”)
- Illumination type, e.g., (445679001, SRT, “Tungsten halogen lamp”)
- Filters, e.g., (445465004, SCT, “Green optical filter”)
  
- Use of codes results in consistency between vendors & sites
- Not buried in proprietary metadata, structured or free text, or file name convention
- Primarily SNOMED but can use other specialty-specific schemes

# DICOM Slide Metadata Attributes

```

(0x0048,0x0001) FL Imaged Volume Width   VR=<FL>   VL=<0x0004>  {18.9371}
(0x0048,0x0002) FL Imaged Volume Height  VR=<FL>   VL=<0x0004>  {32.736}
(0x0048,0x0003) FL Imaged Volume Depth   VR=<FL>   VL=<0x0004>  {0.6}
(0x0048,0x0006) UL Total Pixel Matrix Columns VR=<UL>   VL=<0x0004>  [0x000006fa]
(0x0048,0x0007) UL Total Pixel Matrix Rows  VR=<UL>   VL=<0x0004>  [0x00000571]
(0x0048,0x0008) SQ Total Pixel Matrix Origin Sequence VR=<SQ>   VL=<0xffffffff>
-----:
  > (0x0040,0x072a) DS X Offset in Slide Coordinate System   VR=<DS>   VL=<0x0002>  <25>
  > (0x0040,0x073a) DS Y Offset in Slide Coordinate System   VR=<DS>   VL=<0x0002>  <50>

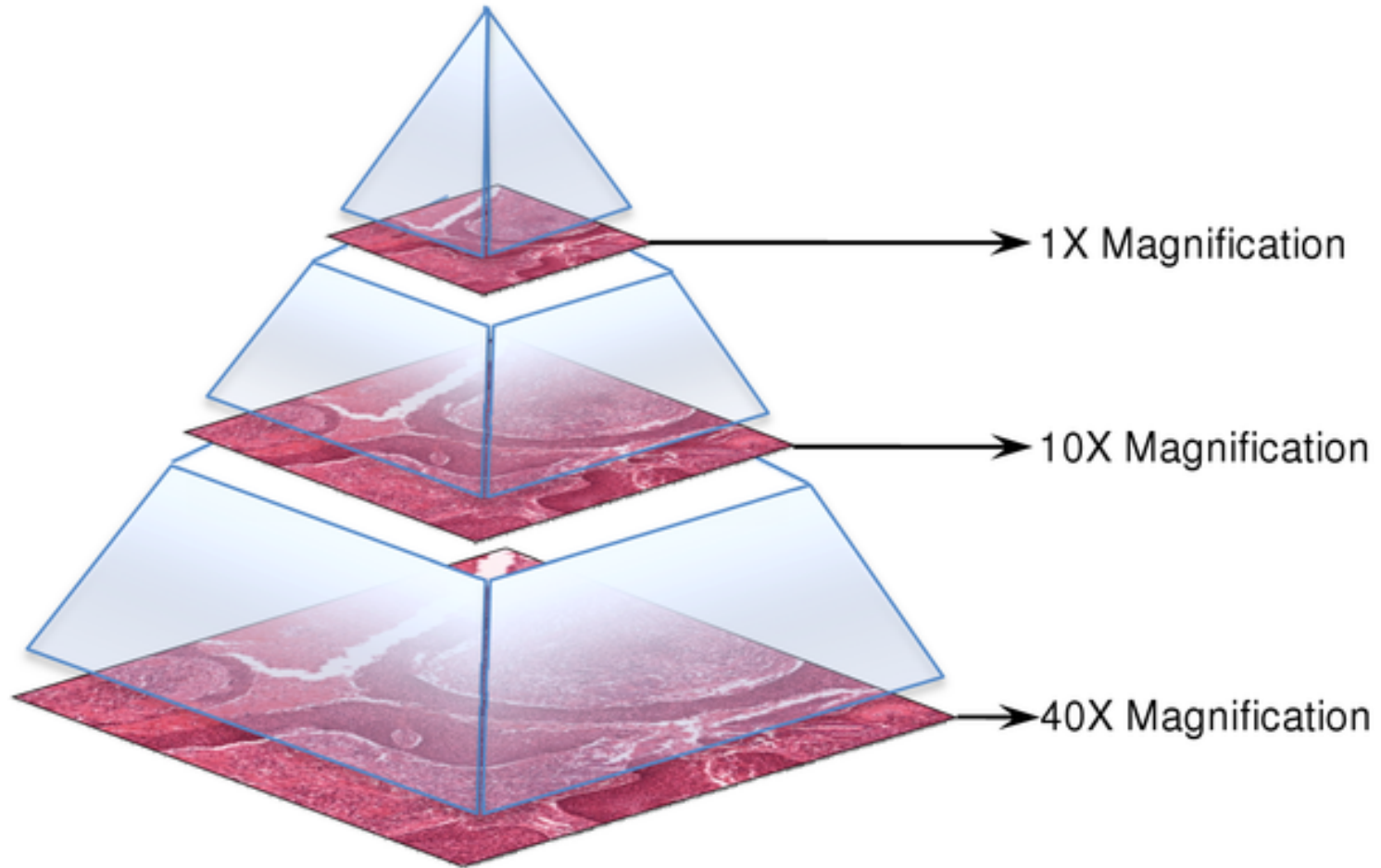
(0x0048,0x0010) CS Specimen Label in Image   VR=<CS>   VL=<0x0004>  <YES >
(0x0048,0x0011) CS Focus Method             VR=<CS>   VL=<0x0004>  <AUTO>
(0x0048,0x0012) CS Extended Depth of Field  VR=<CS>   VL=<0x0002>  <NO>
(0x0048,0x0102) DS Image Orientation (Slide) VR=<DS>   VL=<0x000e>  <-1\0\0\0\0\0\0\0 >
(0x0048,0x0105) SQ Optical Path Sequence     VR=<SQ>   VL=<0xffffffff>
-----:
  > (0x0022,0x0016) SQ Illumination Type Code Sequence       VR=<SQ>   VL=<0xffffffff>
-----:
  > (0x0008,0x0100) SH Code Value             VR=<SH>   VL=<0x0006>  <111744>
  > (0x0008,0x0102) SH Coding Scheme Designator           VR=<SH>   VL=<0x0004>  <DCM >
  > (0x0008,0x0104) LO Code Meaning           VR=<LO>   VL=<0x0018>  <Brightfield illumination>

  > (0x0028,0x2000) OB ICC Profile           VR=<OB>   VL=<0xf9b8>  [0x00,0x00,0xf9,0xb8,0x00,0x00,0x00,0x00,
    0x04,0x30,0x00,0x00,0x73,0x63,0x6e,0x72,0x52,0x47,0x42,0x20,0x4c,0x61,0x62,0x20,
    0x07,0xda,0x00,0x0b,0x00,0x12,0x00,0x14,0x00,0x1e,0x00,0x0f,0x61,0x63,0x73,0x70,
    0x4d,0x53,0x46,0x54,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,
    0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0xf6,0xd6,
    0x00,0x01,0x00,0x00,0x00,0x00,0xd3,0x2d,0x46,0x58,0x20,0x20,0x55,0xd2,0x77,0xf6,
    0x4a,0xcf,0xe4,0x63,0x2b,0x6a,0xfb,0x6d,0x2b,0x8c,0x3c,0xbc,0x00,0x00,0x00,0x00,
    0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,
    0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,0x00,
    0x00,0x00,0x00,0xf0,0x00,0x00,0x00,0x5a,0x63,0x70,0x72,0x74,0x00,0x00,0x01,0x4c,
    0x00,0x00,0x00,0x68,0x77,0x74,0x70,0x74,0x00,0x00,0x01,0xb4,0x00,0x00,0x00,0x14,
  ]
  
```

# DICOM Pixel Data Encoding

- Needs to be (lossy) compressed
  - to be tractable size to store/transmit
  - user experience suggests modest compression does not affect diagnostic task
- Use an industry standard compression scheme – JPEG, JPEG 2000
- Having been lossy compressed on scanner, do not want to recompress
  - causes further unnecessary loss (blurring, artifacts)
  - corollary – if lossy compressed with proprietary scheme, want lossless re-encoding
- Base (highest resolution) of slide tissue area at 40x is very big
  - tissue area 25mm x 15mm @ 0.25 $\mu$ m = 100,000 x 60,000 pixels
  - virtual microscopy (pan/zoom) experience requires pre-computed down-sampled layers
- Originally two competing approaches for DICOM encoding
  - store multiple layers of pyramid, chop each layer into tiles, compressing each separately
  - store entire base layer as JPEG 2000, wavelet transform inherently multi-resolution
  - tiled pyramid approach was selected, can still use JPEG 2000 for each tile separately

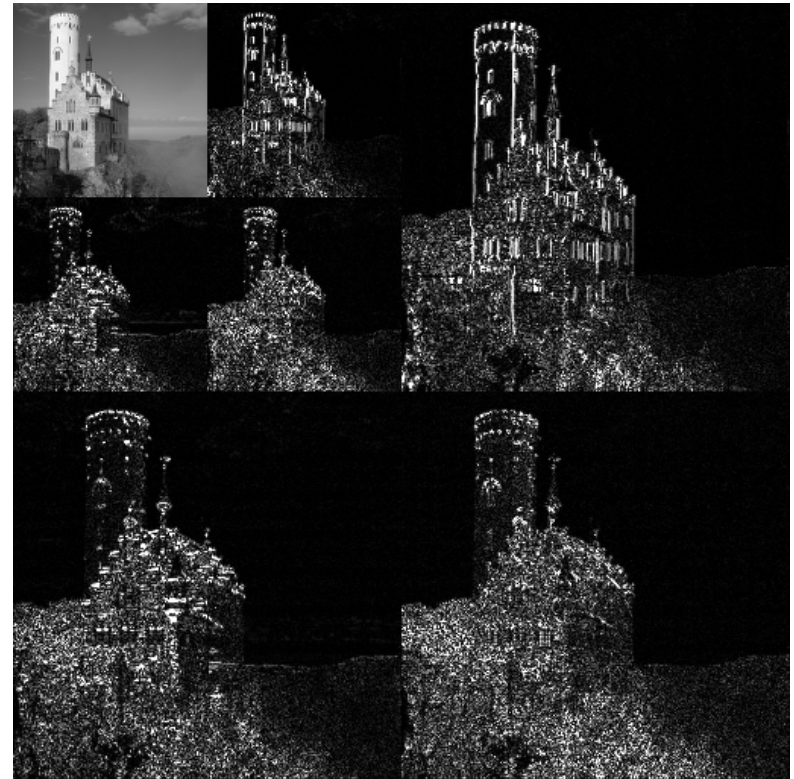
# How digital slides are stored in a pyramid structure.



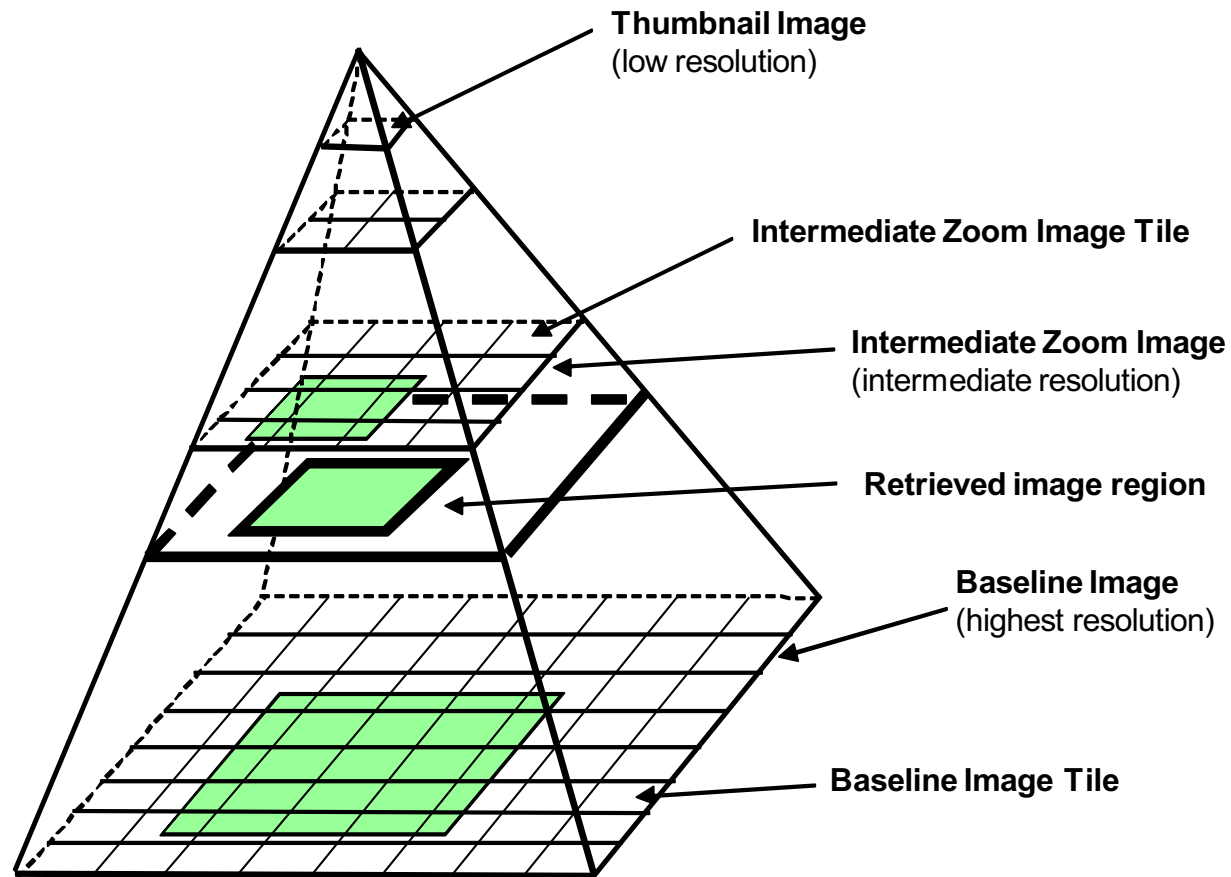
Wang Y, Williamson KE, Kelly PJ, James JA, Hamilton PW (2012) SurfaceSlide: A Multitouch Digital Pathology Platform. PLOS ONE 7(1): e30783. <https://doi.org/10.1371/journal.pone.0030783>  
<http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0030783>

# Wavelet Multi-resolution

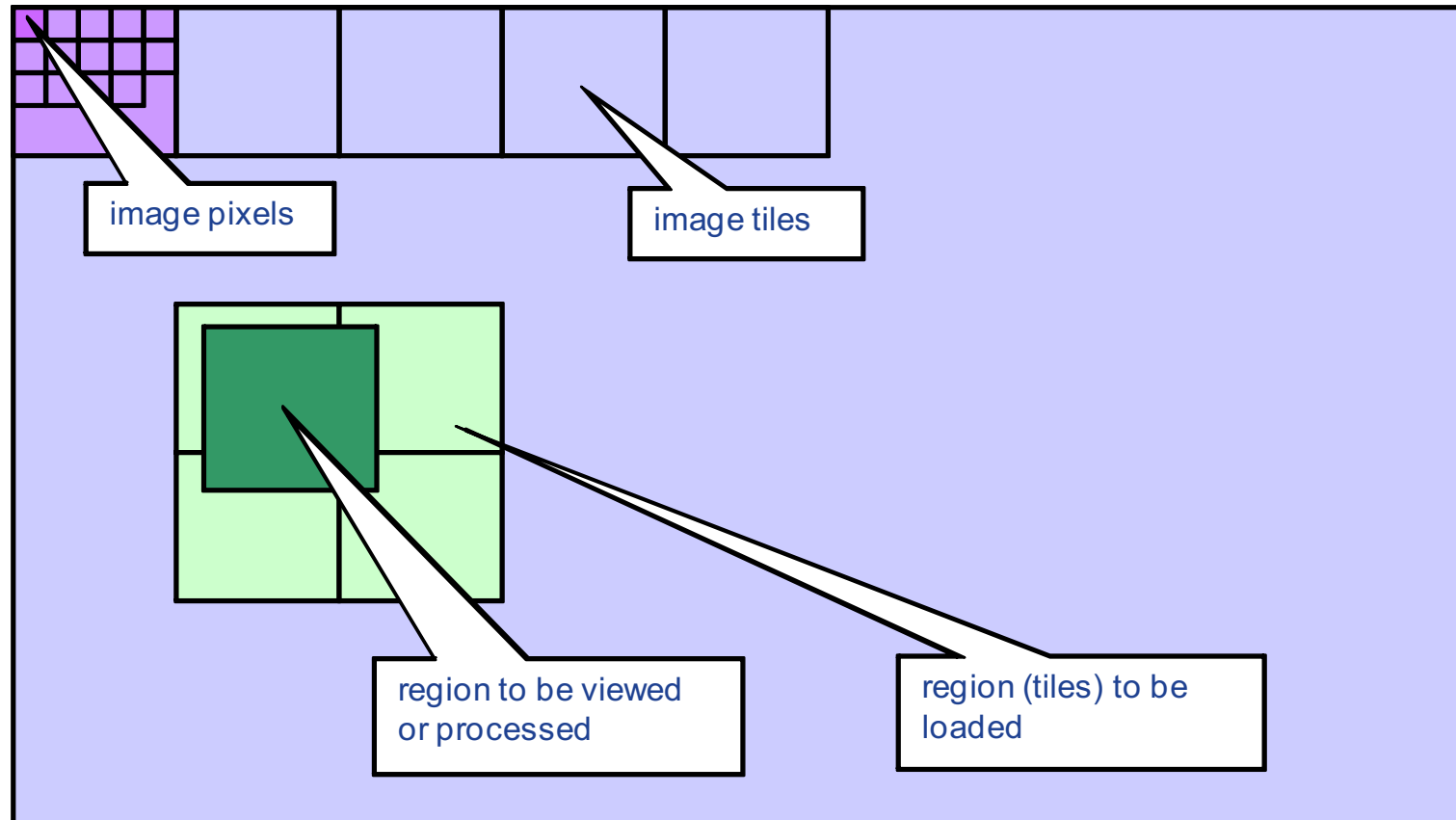
<i>LL</i> level 2	<i>LH</i> level 2	<i>LH</i> level 1
<i>HL</i> level 2	<i>HH</i> level 2	
<i>HL</i> level 1		<i>HH</i> level 1

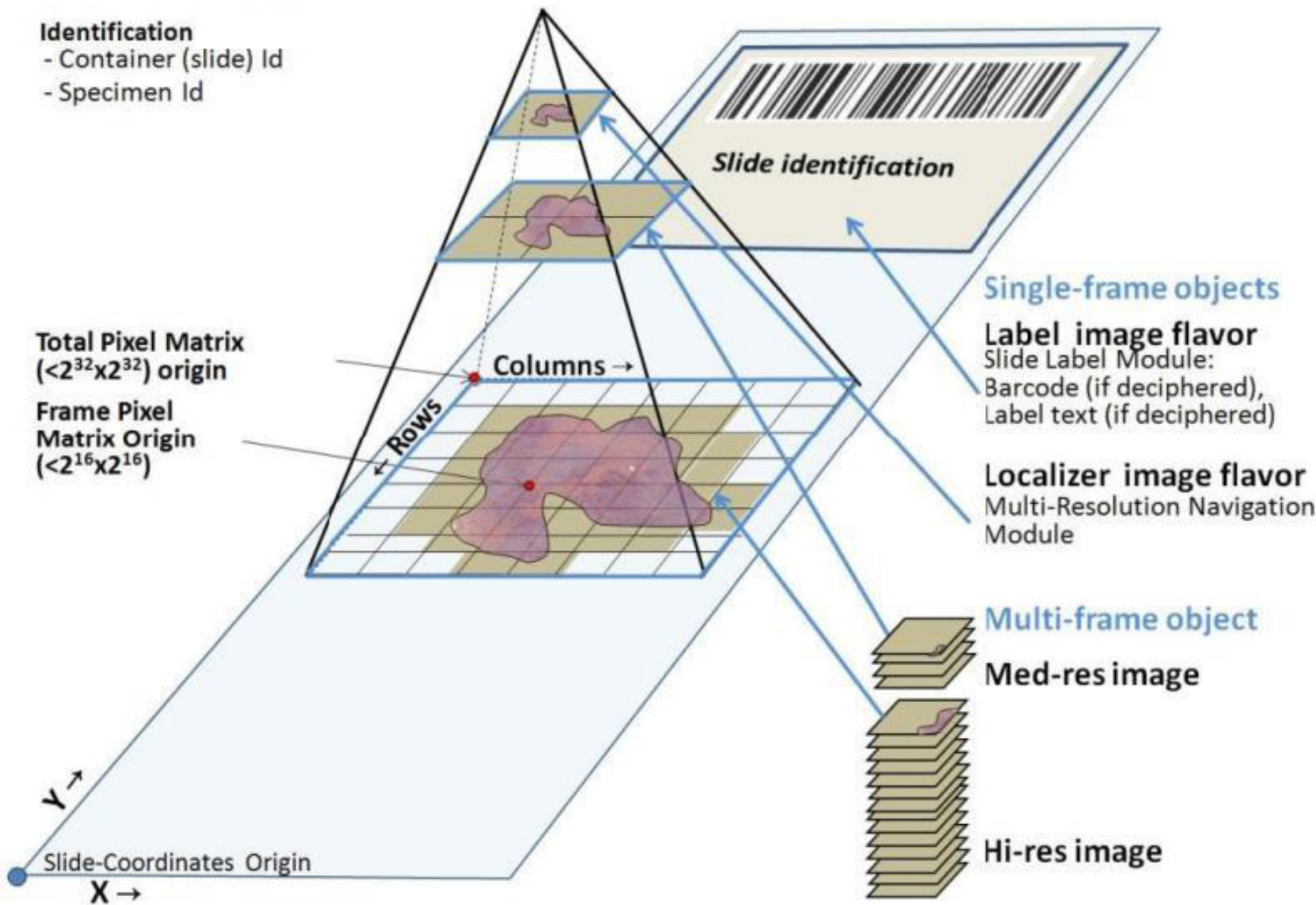


# Tiled Pyramid Approach



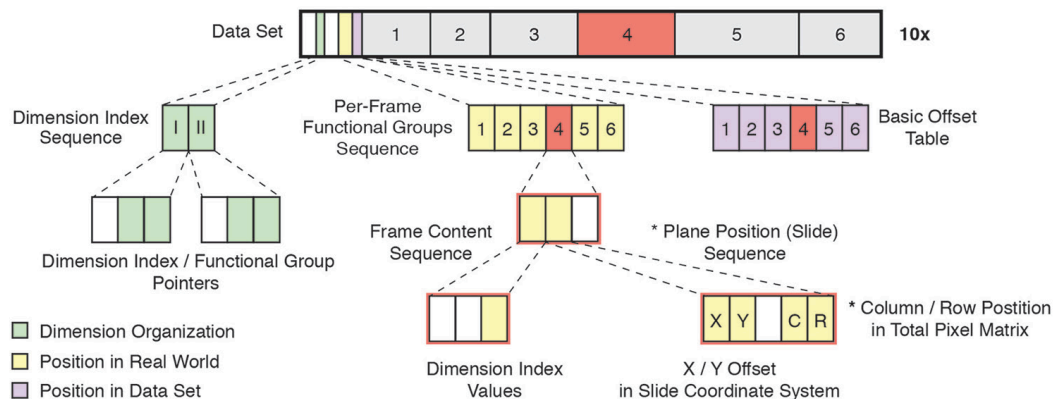
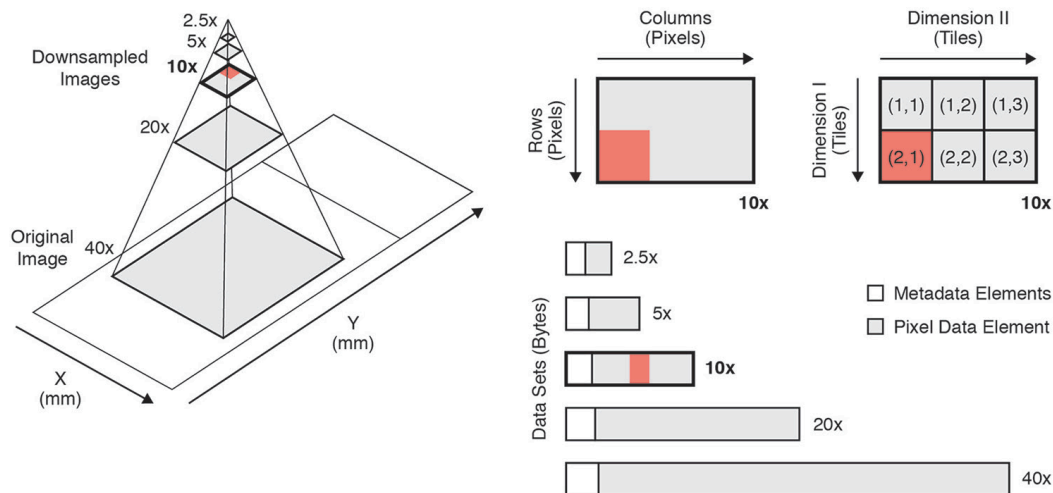
# Tiled Pyramid Approach



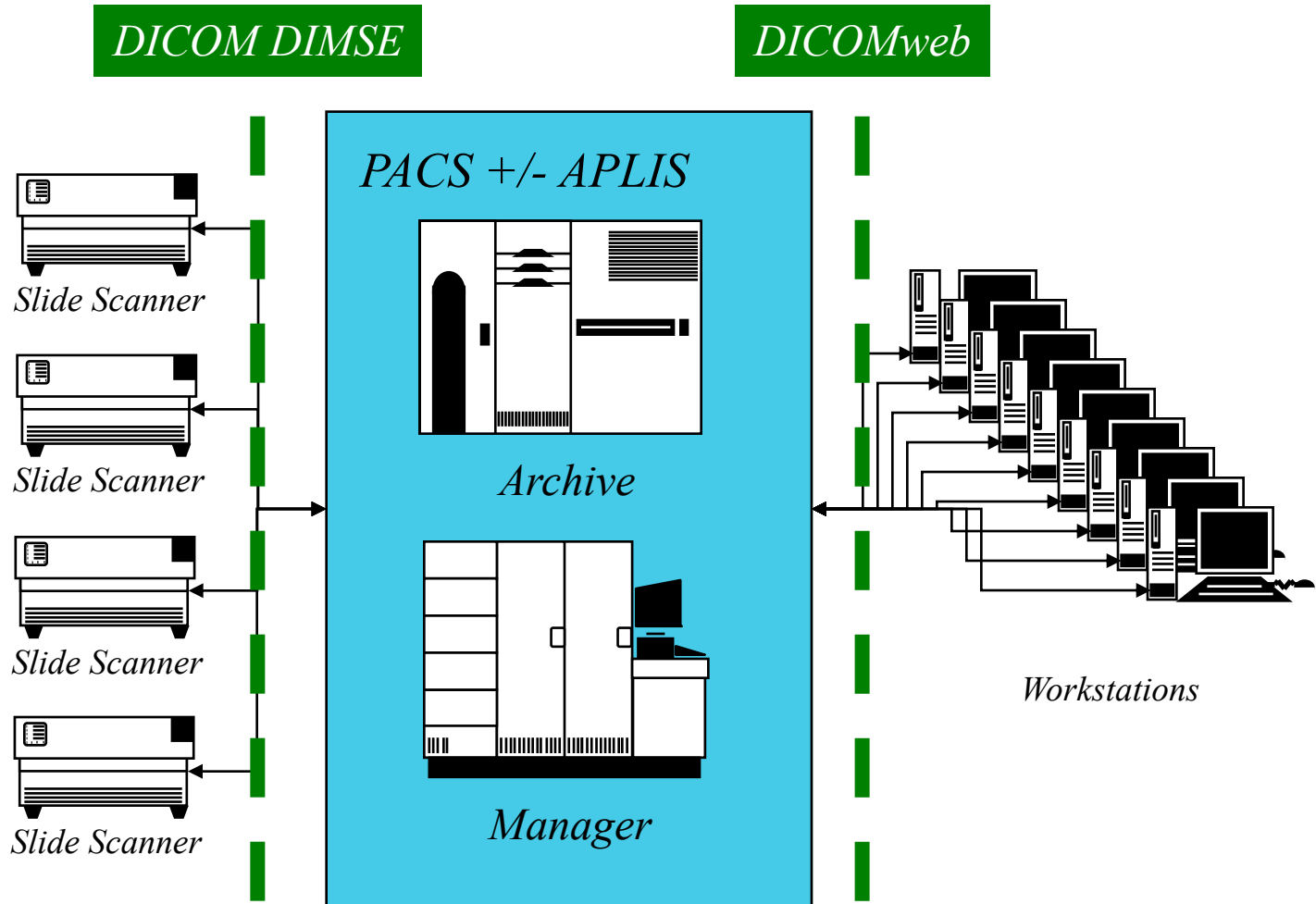




# DICOM Whole Slide Images – Tiled Multi-frame Pyramidal Representation

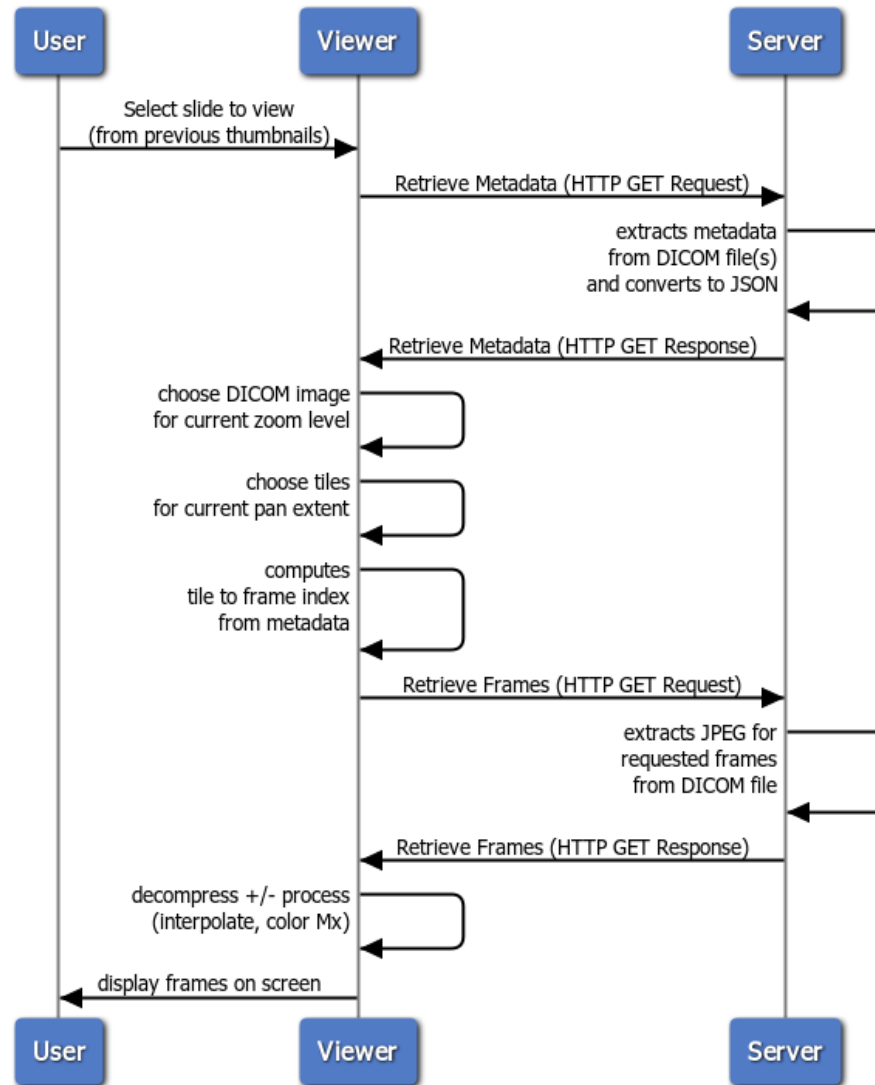


# DICOM – Pathology Workstation

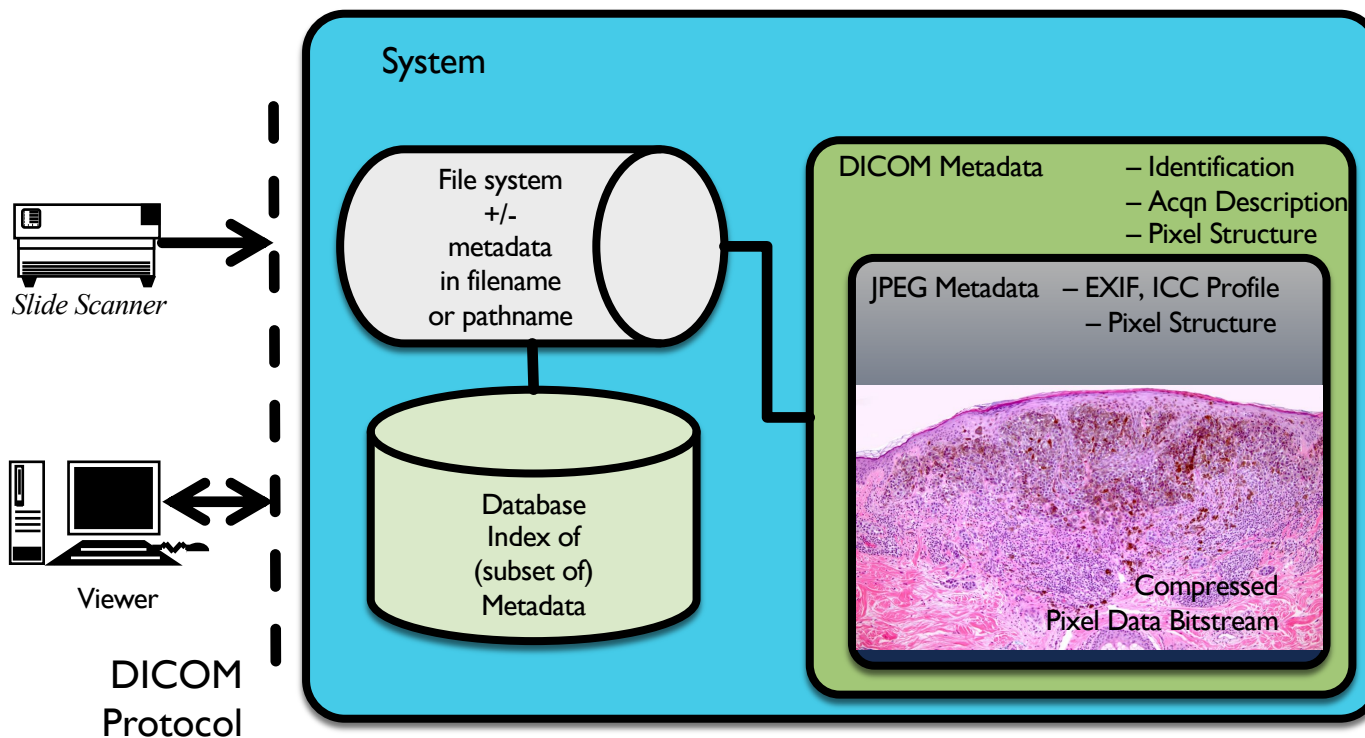


# DICOMweb (WADO-RS)

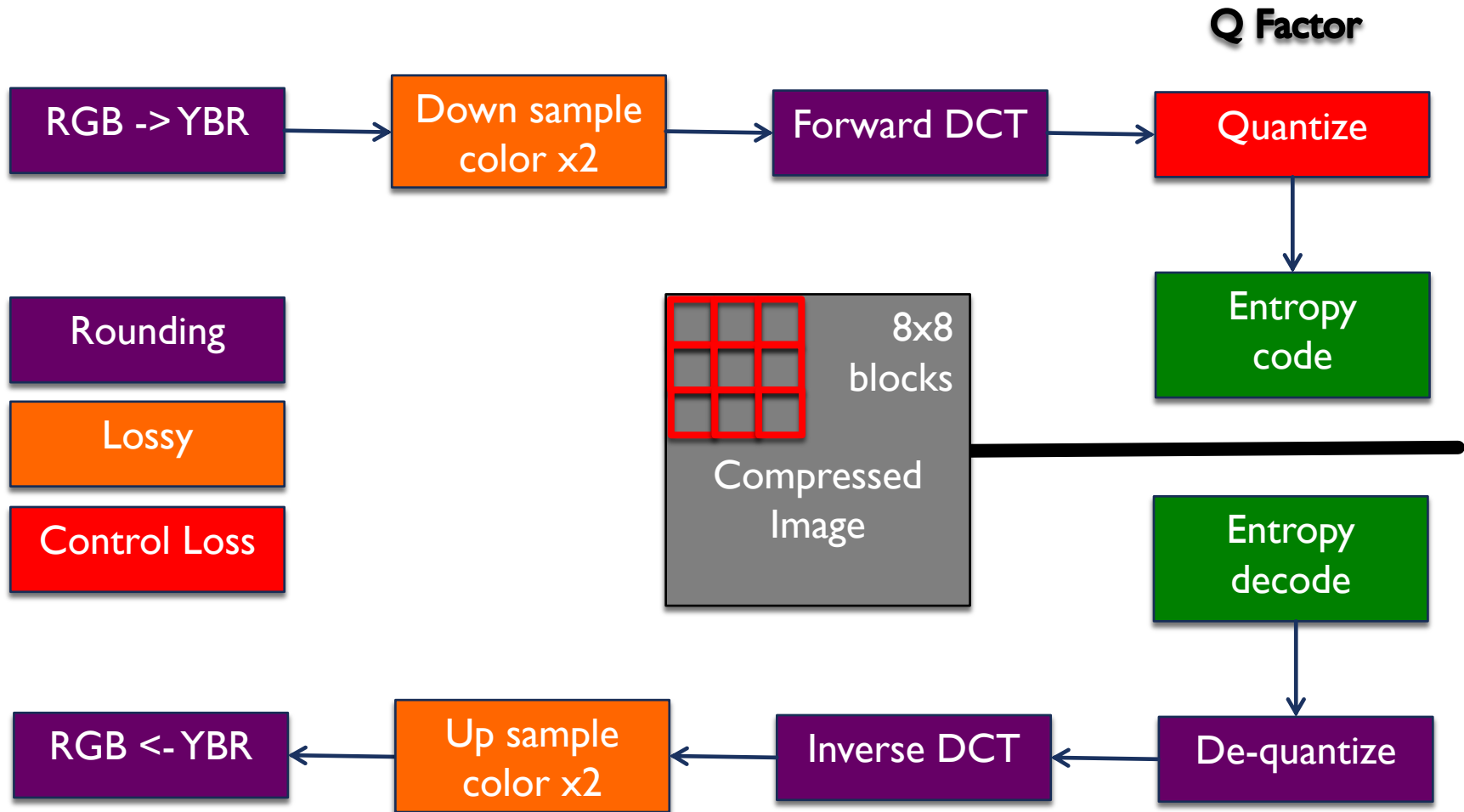
## Virtual Microscopy Viewer Transactions



# DICOM Encapsulated Compressed (JPEG, JPEG 2000) Pixel Data



# How JPEG (Baseline) works



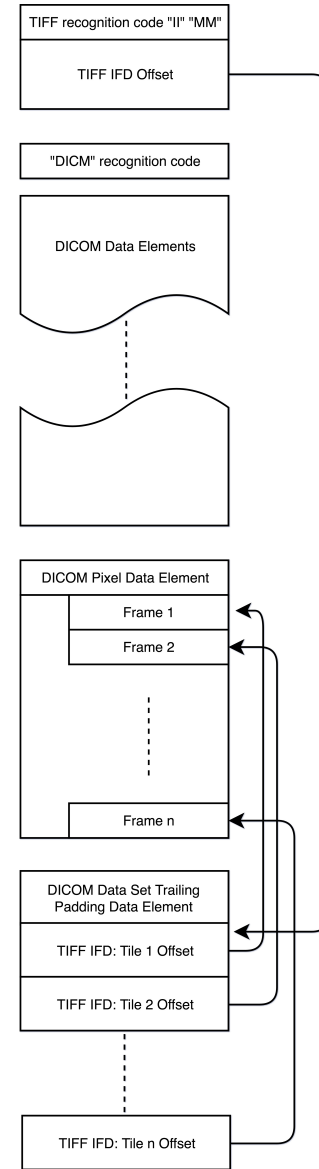
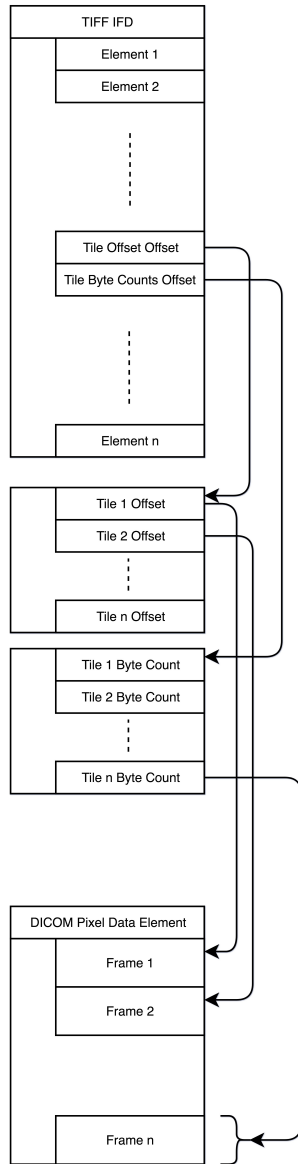
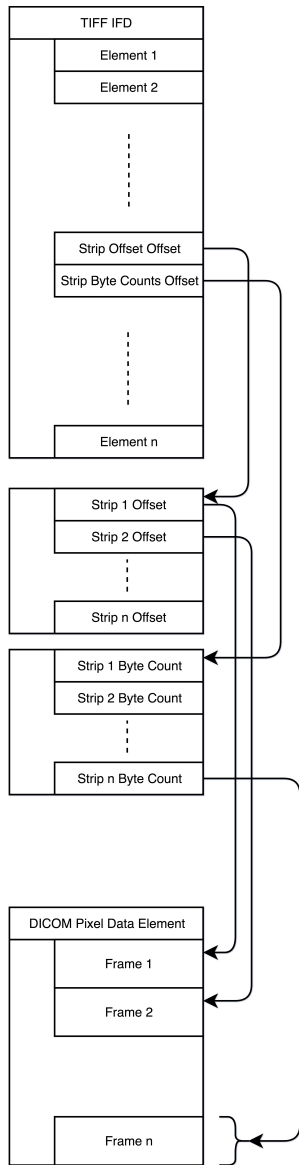
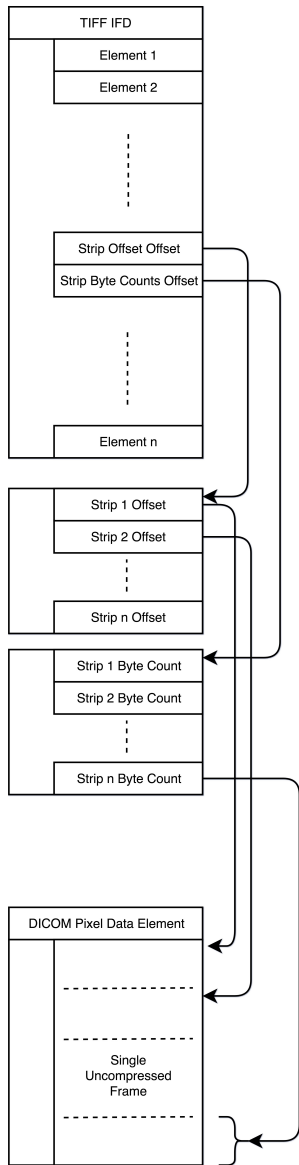
# Mathematically Identical Pixels when converting proprietary to DICOM



- IFF scanner already provides tiled JPEG pyramid
  - can be re-encoded in DICOM **without changing** the compressed coefficients
  - no further lossy color space conversion (no YCbCr or J2K ICT)
  - no further chrominance channel re-sampling
  - no further lossy transformation (DCT or floating point wavelet)
  - could losslessly (reversibly) change entropy coding, but don't need to
  - can mess with marker segments (e.g., insert Quantization and Huffman tables in every frame)
  - can add or remove ICC profile if sent separately (in separate DICOM attribute)
- Use Case: Leica Aperio YCbCr JPEG SVS file (as used for AT2 DX 510(k))
  - several pyramid layers encoded same way that DICOM does, just in BigTIFF format
  - extract each pyramid level and create one DICOM image per pyramid level
  - for each pyramid level, copy JPEG bitstream, inserting Q and H marker segments
  - re-use existing pyramids, so no new interpolated pyramid layers
  - result contains mathematically identical pixels for each source layer
  - can even co-exist in one file – dual-personality DICOM-TIFF file

## Dual Personality DICOM-TIFF

- DICOM file format was designed to coexist with a second format
- Bulk data (compressed pixels) shared between both formats
- E.g., a single stored file can be both DICOM and (Big)TIFF
- Mechanism is use of I28 preamble to contain TIFF Image File Directory (IFD) that points to Dataset Trailing Padding after DICOM content, which points back to payload of DICOM Pixel Data element
- Both DICOM and TIFF use sufficiently similar JPEG encoding of pyramidal tiles to make this work for WSI





# Mathematically Identical Pixels when converting proprietary to DICOM



*The conversion from tiled pyramidal JPEG  
proprietary format to DICOM has no  
effect on the encoded pixel data quality*

*It is mathematically lossless (reversible)*

## But ...

- What if compression scheme is proprietary and/or not tiled?
  - if full frame compression, needs to be decompressed, tiled and recompressed
  - may be able to do this losslessly
    - IFF decompression adds no further loss
    - IFF recompression adds no further loss (but pixel data size may increase, significantly)
- What if more pyramid layers are required?
  - e.g., if only the base (highest resolution) layer is supplied
  - resampling and interpolation create different data in new layers
    - which may appear different from what the scanner vendor originally encoded, e.g., LL bands in a wavelet multi-resolution decomposition
- Use case: Philips proprietary iSyntax full image wavelet compression (510(k))
  - can export as DICOM reversible J2K tiled full image (base layer only)
    - used same reversible color transformation
    - used same integer 5,3 wavelet
    - no loss on conversion to DICOM but up to 10x larger pixel data size
  - needs additional pyramid layers to be synthesized, which may differ from original
  - often needs to be converted to JPEG (lossy) or PNG (lossless but big) to display in viewer
  - DICOMweb WADO-RS RetrieveRendered transaction specifies what client accepts, server converts

# Regulatory == Image Quality Issues

- What does the scanner provide (is what was approved/cleared)?
  - ideally would be DICOM when clinicals for submission done
- What changes occur during format conversion for storage (ideally, none)?
  - conversion to DICOM can be lossless for per-frame JPEG and per-frame J2K
  - from full image J2K or proprietary wavelet may be lossless but big
- What changes occur during frame retrieval (ideally none, if any, preferably reversible, if fast enough)?
  - if JPEG, just extract the frame +/- apply server-side color management
  - if J2K and client supports J2K, extract the frame, else convert to lossless PNG
- What changes occur during lossy decompression (ideally imperceptible)?
  - not all decoders behave identically (e.g., difference in JPEG codecs)

# Regulatory == Image Quality Issues

- What changes occur during color management?
  - different ICC profiles from scanner (if any; may just nominate color space e.g., sRGB)
  - color management application is inherently lossy and platforms differ (putting aside viewing environment and human perception issues)
  - DICOMweb supports application of profile by client, by client browser is running in, or by server
  - how to measure?
    - DeltaE? <1.0 JND OK?
    - what actual matters to observer performance?
- What changes occur during other processing for display?
  - interpolation and resampling for intermediate levels of zoom
- What changes occur with display monitor?
- What is the relative contribution of these effects when combined?
- Is interoperability "safe"?
  - clinical validation of safety of quantifiable effects vs. validate all combinations (infeasible)?

# DICOM & Quality Issues

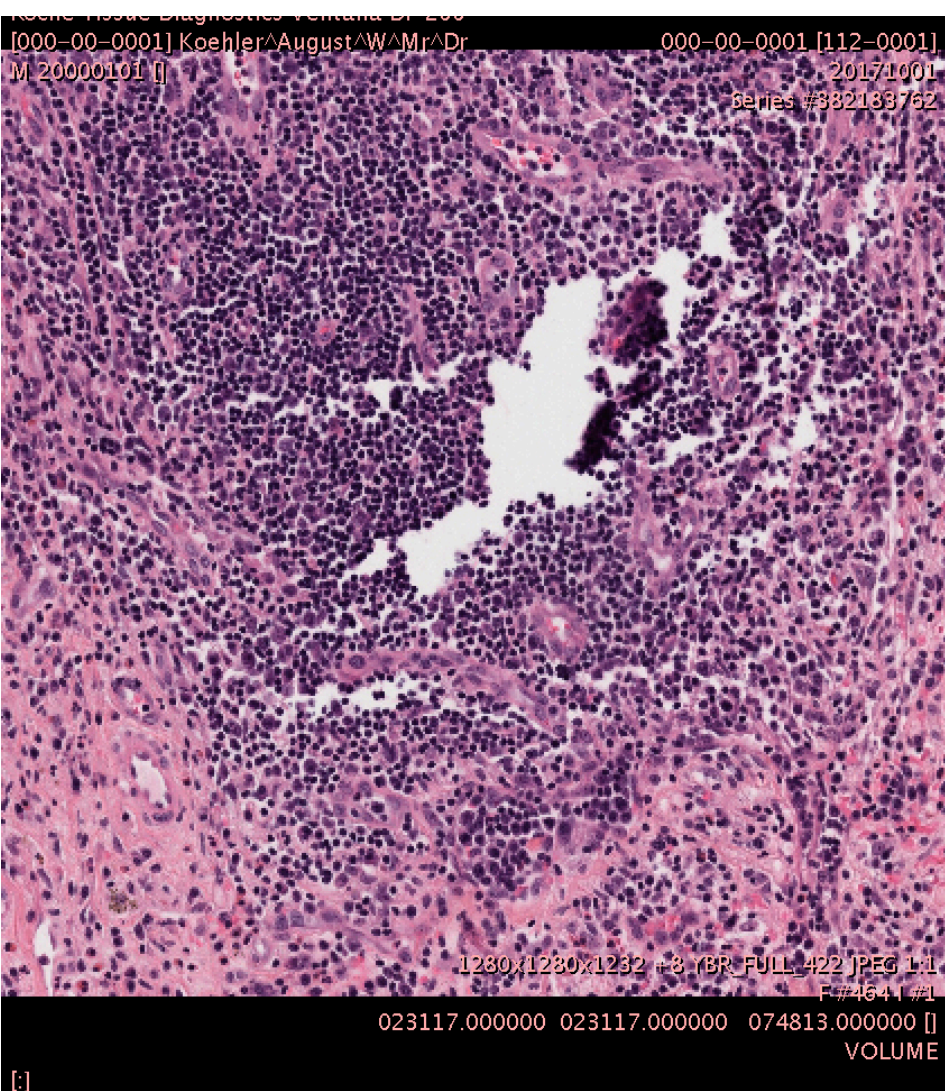
- DICOM enables interoperability with same image quality
  - if implemented and deployed correctly
- Same pixels (ideally)
  - as stored and retrieved, can be mathematically proven
  - as displayed, depends on display software behavior (mitigate: pre-stored pyramid layers)
  - demonstrably similar pixels (quantify, test with observer detect difference or clinical performance) if compression change, pyramids different, display software different
- Same colors, IFF:
  - calibrated scanner
  - ICC profiles supplied by scanner vendor
  - applied by server/client combination (various permutations possible)
  - calibrated monitor
  - similar viewing environment
  - one has faith in sufficiency of color management based on ICC
- Use case: Roche ICC Profile

# Color Consistency in WSI

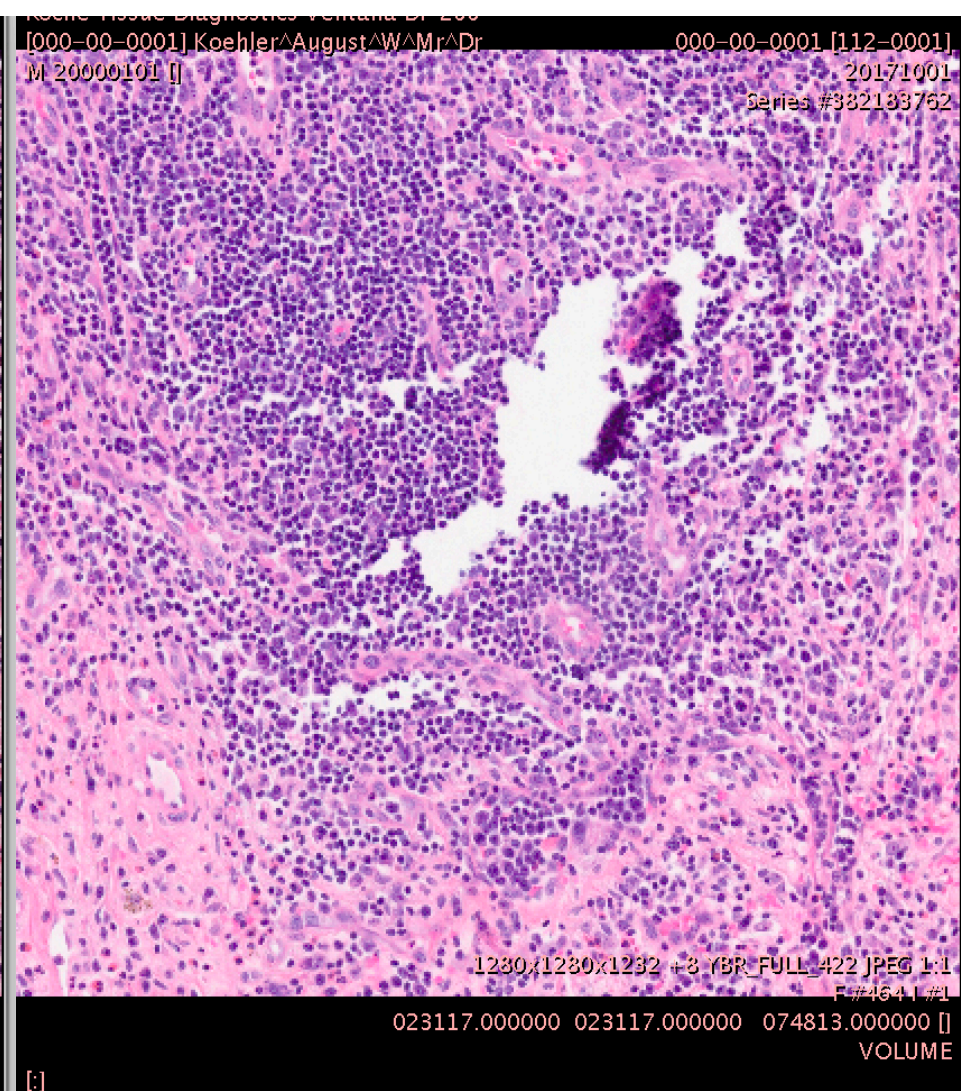
## DICOM use of ICC Profiles



- Color calibration, normalization
  - vendor calibrates scanner
  - site-specific staining, etc. – how this is done is out of scope
- Color consistency (once truth established)
  - ICC profiles – generic non-medical industry standard
  - supports calibrated scanners and displays
  - consider choice of ICC method (LUT, TRC, matrix) , rendering intent
- DICOM WSI object
  - requires ICC profile (perceptual rendering intent)
- Services for application of ICC profiles
  - DICOMweb – apply server or client side, +/- in JPEG
- Applicable to all color imaging, not just pathology



**No ICC Profile Applied**



**With ICC Profile Applied**

# Overcoming regulatory barriers

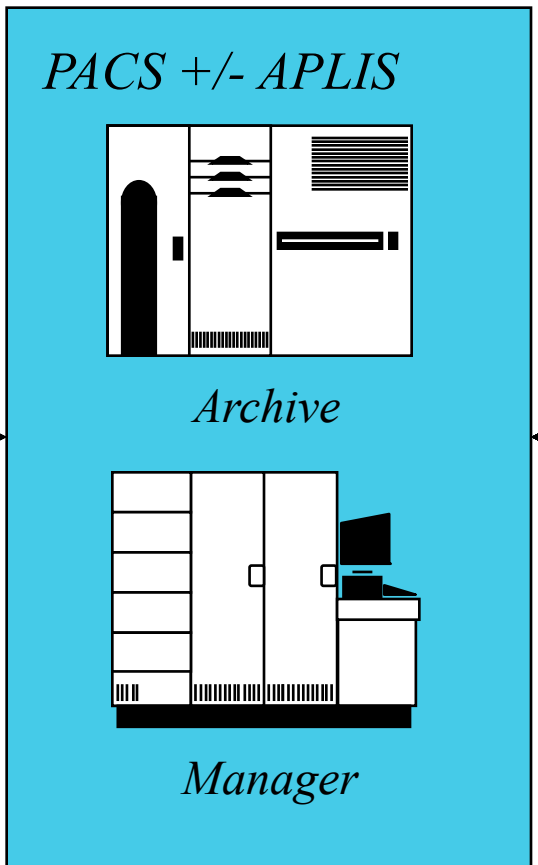
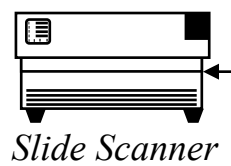
- Radiology examples
  - digital mammography – 1<sup>st</sup> approved printed to film!
  - then vendor provided displays (monitors)
  - then any 5MP display
  - now any PACS, any (cleared) workstation software, DICOM-based interoperability
  - repeated for digital breast tomosynthesis (DBT)
- Digital pathology
  - one vendor – entire pixel pathway
  - another vendor based on predicate
  - now paired scanner + archive/viewer vendors – technical data only
  - well on the way to fully open interoperability – needs DICOM



# PV 2017 Connectathon

*DICOM C-STORE*

*DICOM WADO-RS*

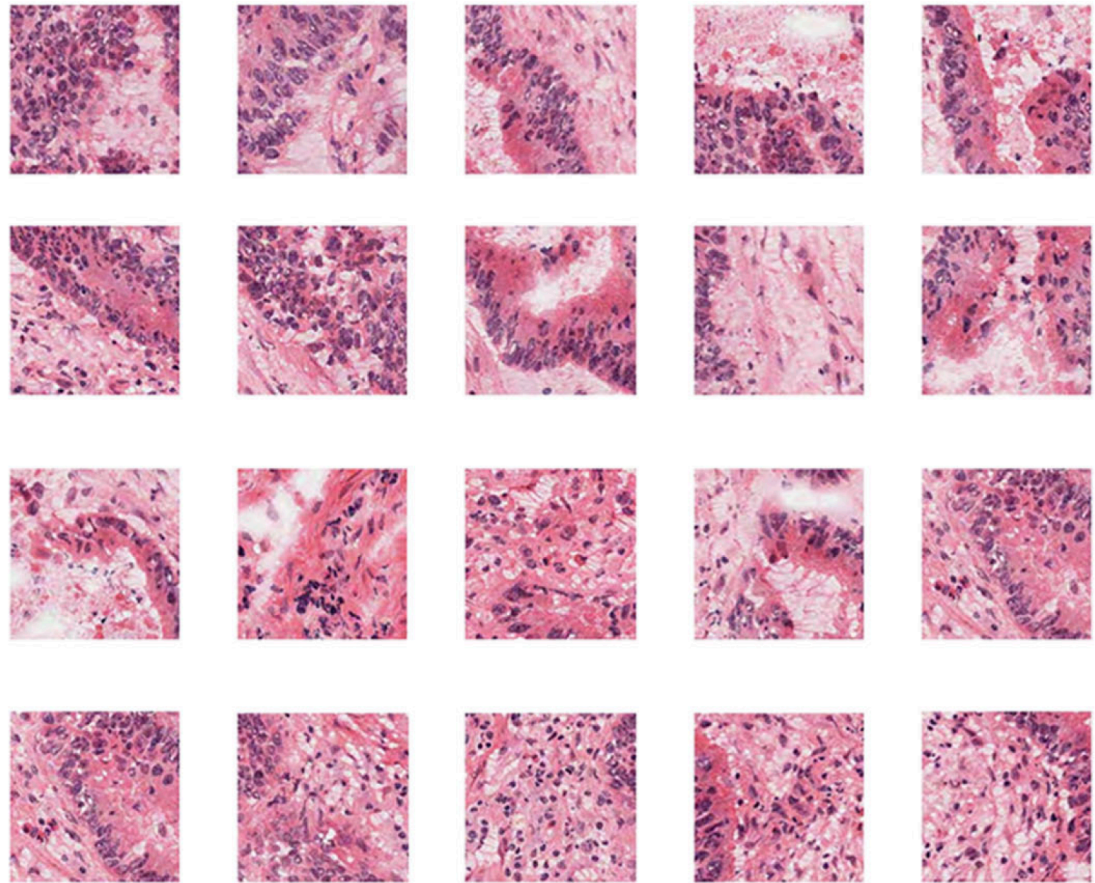




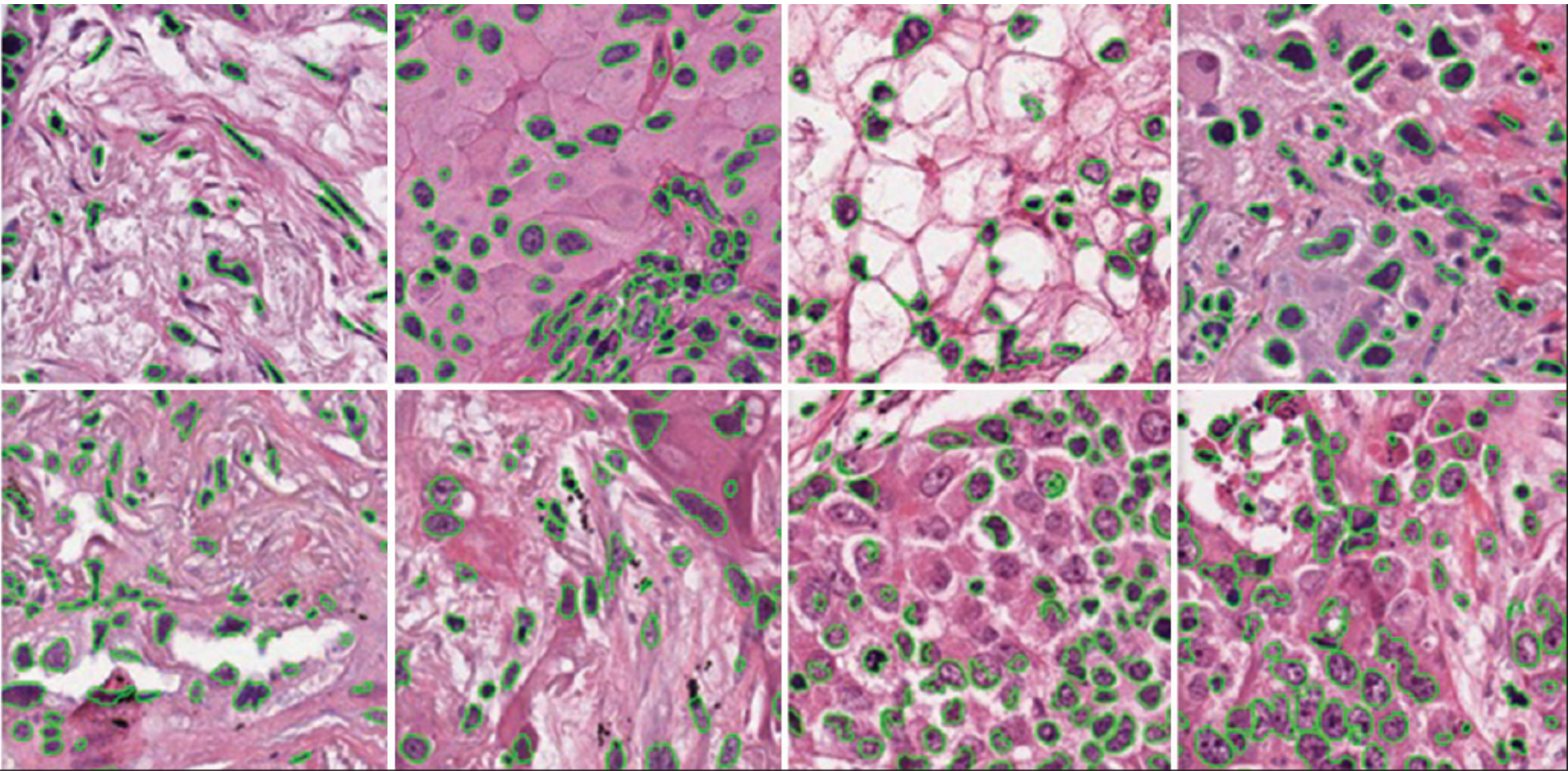
# DICOM Role in Computational Pathology (AI/ML)



- Annotations
  - input (“hot spots”)
  - output from analysis algorithms (per field, all pixels on WSI, overall scores)
  - DICOM Segmentations – per pixel classification
  - DICOM Structured Reports – outlines, measurements, categories, scores
  - something new in DICOM that scales to millions of nuclei, membranes, etc.
  - DICOM Parametric Maps (e.g., scores, saliency, other "heat maps")
- Critical to make interoperable for
  - gathering of truth for training & test data (e.g., from human pathologists)
  - operational deployment (displayable in any viewer)
  - monitoring of performance in the field (for degradation, lack of generalizability)
  - feedback into updated models (re-training, locked or continuous learning)



Yoon et al. Tumor Identification in Colorectal Histology Images Using a Convolutional Neural Network. *J Digit Imaging*. 2018 Jul 31;1-10.



Wen et al. A methodology for texture feature-based quality assessment in nucleus segmentation of histopathology image. JPI. 2017.

# Semantic Annotations

- Annotations must be meaningful to an algorithm without the need for human interpretation
  - not just vector graphics or text
  - coded labels coupled with entity, image locations and regions of interest
  - numeric measurements with coded concepts and unit
- I.e., DICOM Structured Reports NOT Presentation States or PDF or SC
  - regions coded as contours (in DICOM SR or RTSS) or as pixels (in DICOM SEG)
- Anti-lesson from radiology
  - radiologists rarely annotate in clinical routine – do pathologists?
  - CP (AI/ML) changes the game – interoperable semantic annotations now valuable

# Summary

- What is DICOM?
- Why DICOM? Interoperability
- DICOM for WSI in detail
- Regulatory implications of interoperability
- Which aspects of DICOM can assuage regulatory concerns?
- Use cases for mathematically identical pixels in DICOM vs. proprietary
- DICOM solutions for proprietary compression sources
- Color management
- Computational Pathology (AI/ML) and DICOM
- Regulatory issues of AI/ML – annotations for truthing